INITIAL
End Date: 11/20/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/21/2019
FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0%
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer segregated funds required between: % and %.
     0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0%
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer secured funds required between: % and %.
     0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %.
     0 0

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount [Currency]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

   A. Cash | 13,270,294 [7315] |
   B. Securities (at market) | 0 [7317] |

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | -789,821 [7325] |

3. Exchange traded options

   a. Market value of open option contracts purchased on a foreign board of trade | 91,320 [7335] |
   b. Market value of open contracts granted (sold) on a foreign board of trade | -34,202 [7337] |

4. Net equity (deficit) (add lines 1. 2. and 3.) | 12,537,591 [7345] |

5. Account liquidating to a deficit and account with a debit balances - gross amount | 3,788 [7351] |

   Less: amount offset by customer owned securities | 0 [7352] 3,788 [7354] |

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | 12,541,379 [7355] |

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 12,541,379 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

   A. Banks located in the United States | 4,914,658 [7500] |
   B. Other banks qualified under Regulation 30.7 | 2,413,548 [7520] 7,328,206 [7530] |

2. Securities

   A. In safekeeping with banks located in the United States | 0 [7540] |
   B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

3. Equities with registered futures commission merchants

   A. Cash | 5,555,865 [7580] |
   B. Securities | 0 [7590] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   D. Value of long option contracts | 0 [7610] |
   E. Value of short option contracts | 0 [7615] 5,555,865 [7620] |

4. Amounts held by clearing organizations of foreign boards of trade

   A. Cash | 2,492,749 [7640] |
   B. Securities | 0 [7650] |
   C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   D. Value of long option contracts | 0 [7670] |
   E. Value of short option contracts | 0 [7675] 2,492,749 [7680] |

5. Amounts held by members of foreign boards of trade

   A. Cash | 469,700 [7700] |
   B. Securities | 0 [7710] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   D. Value of long option contracts | 91,320 [7730] |
   E. Value of short option contracts | -34,202 [7735] 526,818 [7740] |

6. Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

7. Segregated funds on hand | 0 [7765] |

8. Total funds in separate section 30.7 accounts | 15,903,638 [7770] |

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 3,362,259 [7538] |

10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 2,362,259 [7785] |
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA):

1. Net ledger balance
   A. Cash
      873,662,609 [7010]
   B. Securities (at market)
      156,759,512 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   271,155,783 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market
      1,572,599,673 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market
      -1,852,340,215 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   1,021,837,362 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   1,147,570 [7045]
   Less: amount offset by customer securities
   -214,212 [7047] 933,358 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   1,022,770,720 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash
      262,962,153 [7070]
   B. Securities representing investments of customers' funds (at market)
      0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      914,769 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash
      488,679,226 [7100]
   B. Securities representing investments of customers' funds (at market)
      424,137,454 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      153,607,806 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   -3,176,486 [7130]

10. Exchange traded options
    A. Value of open long option contracts
        1,572,599,673 [7132]
    B. Value of open short option contracts
        -1,852,340,215 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity
        0 [7140]
    B. Securities representing investments of customers' funds (at market)
        0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)
        0 [7170]

12. Segregated funds on hand
    2,236,937 [7150]

13. Total amount in segregation (add lines 7 through 12)
    1,049,621,317 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    26,850,597 [7190]

15. Management Target Amount for Excess funds in segregation
    19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess
    7,850,597 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. **Cash**
     - 0 [8500]
   - B. **Securities (at market)**
     - 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   - 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
     - 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold)
     - 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   - 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities
   - 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. **Cash**
     - 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market)
     - 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
     - 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. **Cash**
     - 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market)
     - 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
     - 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   - 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
      - 0 [8670]
    - B. Value of open cleared swaps short option contracts
      - 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity
      - 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market)
      - 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
      - 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. **Cash**
      - 0
    - B. Securities representing investments of cleared swaps customers' funds (at market)
      - 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
      - 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - 0 [8770]