Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
INITIAL
End Date: 12/6/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/9/2019

Daily Segregation - Secured Amounts

<table>
<thead>
<tr>
<th>Foreign Futures and Foreign Options Secured Amounts</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash | 12,414,119 [7315] |
   B. Securities (at market) | 0 [7317] |

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | -766,637 [7325] |

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade | 0 [7335] |
   b. Market value of open contracts granted (sold) on a foreign board of trade | 0 [7337] |

4. Net equity (deficit) (add lines 1. 2. and 3.) | 11,647,482 [7345] |

5. Account liquidating to a deficit and account with a debit balances - gross amount | 1,954 [7351] |
   Less: amount offset by customer owned securities | 0 [7352] 1,954 [7354] |

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | 11,649,436 [7355] |

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 | 11,649,436 [7360] |

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States | 2,601,854 [7500] |
   B. Other banks qualified under Regulation 30.7 | 2,164,503 [7520] 4,766,357 [7530] |

2. Securities
   A. In safekeeping with banks located in the United States | 0 [7540] |
   B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

3. Equities with registered futures commission merchants
   A. Cash | 6,202,805 [7580] |
   B. Securities | 0 [7590] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   D. Value of long option contracts | 0 [7610] |
   E. Value of short option contracts | 0 [7615] 6,202,805 [7620] |

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash | 2,775,229 [7640] |
   B. Securities | 0 [7650] |
   C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   D. Value of long option contracts | 0 [7670] |
   E. Value of short option contracts | 0 [7675] 2,775,229 [7680] |

5. Amounts held by members of foreign boards of trade
   A. Cash | 1,311,141 [7700] |
   B. Securities | 0 [7710] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   D. Value of long option contracts | 0 [7730] |
   E. Value of short option contracts | 0 [7735] 1,311,141 [7740] |

6. Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

7. Segregated funds on hand | 0 [7765] |

8. Total funds in separate section 30.7 accounts | 15,055,532 [7770] |

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 3,406,096 [7780] |

10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 2,406,096 [7785] |
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: $806,283,833 [7010]
   - B. Securities (at market): $174,918,256 [7020]
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $249,012,782 [7030]
3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $1,680,997,193 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $-1,831,660,973 [7033]
4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,079,551,091 [7040]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: $-241,500 [7047] $932,769 [7050]
6. **Amount required to be segregated (add lines 4 and 5)**: $1,080,483,860 [7060]
7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. Cash: $229,186,317 [7070]
   - B. Securities representing investments of customers' funds (at market): $0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $914,273 [7090]
8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $350,102,894 [7100]
   - B. Securities representing investments of customers' funds (at market): $494,085,501 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $171,781,061 [7120]
9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $9,768,271 [7130]
10. **Exchange traded options**
    - A. Value of open long option contracts: $1,680,997,193 [7132]
    - B. Value of open short option contracts: $-1,831,660,973 [7133]
11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 [7140]
    - B. Securities representing investments of customers' funds (at market): $0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 [7170]
12. **Segregated funds on hand**: $2,222,922 [7150]
13. **Total amount in segregation (add lines 7 through 12)**: $1,107,397,459 [7180]
14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $26,913,599 [7190]
15. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]
16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $7,913,599 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps
   0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8570] 0 [8580]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8715]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8720]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]