INITIAL
End Date: 12/12/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/13/2019
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash 11,902,417 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -859,033 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1, 2. and 3.) 11,043,384 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,017 [7351]
   - Less: amount offset by customer owned securities 0 [7352] 3,017 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,046,401 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,046,401 [7360]

**Funds Deposited in Separate Regulation 30.7 Accounts**

1. Cash in banks
   - A. Banks located in the United States 2,013,861 [7500]
   - B. Other banks qualified under Regulation 30.7 2,016,055 [7520] 4,029,916 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 6,865,510 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 6,865,510 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 2,805,869 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 2,805,869 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 537,630 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 537,630 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,238,925 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,192,524 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,192,524 [7785]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash: 792,928,556 [7010]
   - B. Securities (at market): 177,914,823 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 215,769,724 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 1,709,687,447 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,777,837,521 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,118,463,029 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,290,582 [7045]
   - Less: amount offset by customer securities: -371,458
   - 919,124 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,119,382,153 [7060]

7. Deposited in segregated funds bank accounts
   - A. Cash: 214,584,920 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 905,349 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 337,299,099 [7100]
   - B. Securities representing investments of customers' funds (at market): 494,206,374 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 174,808,597 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: -10,068,382 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 1,709,687,447 [7132]
    - B. Value of open short option contracts: -1,777,837,521 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 2,200,877 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,145,786,760 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 26,404,607 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount:
    - Excess: 7,404,607 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash: $0 [8500]
   B. Securities (at market): $0 [8510]

2. Net unrealized profit (loss) in open cleared swaps
   $0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased: $0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): $0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)
   $0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   $0 [8560]

Less: amount offset by customer owned securities
   $0 [8570] $0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   $0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash: $0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): $0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash: $0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): $0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [8650]

9. Net settlement from (to) derivatives clearing organizations
   $0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts: $0 [8670]
    B. Value of open cleared swaps short option contracts: $0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity: $0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): $0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash: $0
    B. Securities representing investments of cleared swaps customers' funds (at market): $0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): $0 [$8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
    $0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
    $0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts
    $0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management
    $0 [8770]