INITIAL
End Date: 12/19/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/20/2019
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or
   19,000,000
b. Minimum percentage of customer segregated funds required: % ; or
   0
   0
c. Dollar amount range between: and; or
   0
   0
d. Percentage range of customer segregated funds required between: % and %.
   0
   0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or
   1,000,000
b. Minimum percentage of customer secured funds required: % ; or
   0
   0
c. Dollar amount range between: and; or
   0
   0
d. Percentage range of customer secured funds required between: % and %.
   0
   0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or
   0
b. Minimum percentage of cleared swaps customer collateral required: % ; or
   0
   0
c. Dollar amount range between: and; or
   0
   0
d. Percentage range of cleared swaps customer collateral required between: % and %.
   0
   0

Attach supporting documents
### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
</tr>
</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash                                                                 | $13,862,839  |
   B. Securities (at market)                                                 | $0           |

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | $256,071     |

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade | $0           |
   b. Market value of open contracts granted (sold) on a foreign board of trade | $0           |

4. Net equity (deficit) (add lines 1. 2. and 3.)                             | $14,119,910  |

5. Account liquidating to a deficit and account with a debit balances - gross amount | $757         |

Less: amount offset by customer owned securities                             | $0           |

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | $14,119,667  |

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | $14,119,667  |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States                                    | $4,260,475   |
   B. Other banks qualified under Regulation 30.7                          | $1,757,429   |

2. Securities
   A. In safekeeping with banks located in the United States               | $0           |
   B. In safekeeping with other banks qualified under Regulation 30.7     | $0           |

3. Equities with registered futures commission merchants
   A. Cash                                                                  | $7,054,841   |
   B. Securities                                                            | $0           |
   C. Unrealized gain (loss) on open futures contracts                     | $0           |
   D. Value of long option contracts                                       | $0           |
   E. Value of short option contracts                                      | $0           |

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash                                                                  | $3,584,299   |
   B. Securities                                                            | $0           |
   C. Amount due to (from) clearing organization - daily variation         | $0           |
   D. Value of long option contracts                                       | $0           |
   E. Value of short option contracts                                      | $0           |

5. Amounts held by members of foreign boards of trade
   A. Cash                                                                  | $663,954     |
   B. Securities                                                            | $0           |
   C. Unrealized gain (loss) on open futures contracts                     | $0           |
   D. Value of long option contracts                                       | $0           |
   E. Value of short option contracts                                      | $0           |

6. Amounts with other depositories designated by a foreign board of trade  | $0           |

7. Segregated funds on hand                                                | $0           |

8. Total funds in separate section 30.7 accounts                           | $17,320,998  |

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | $3,201,331   |

10. Management Target Amount for Excess funds in separate section 30.7 accounts | $1,000,000   |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | $2,201,331   |
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 781,953,681 [7010]
   B. Securities (at market) 170,014,991 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 76,129,798 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,750,561,112 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,692,739,167 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,085,920,415 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,233,377 [7045]
   Less: amount offset by customer securities -309,645 [7047]
   923,732 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,086,844,147 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash 244,091,800 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 897,015 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 317,732,547 [7100]
   B. Securities representing investments of customers’ funds (at market) 339,361,743 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 166,895,731 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -14,608,105 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,750,561,112 [7132]
    B. Value of open short option contracts -1,692,739,167 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,222,245 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,114,414,921 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,570,774 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 8,570,774 [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]