Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

19,000,000

b. Minimum percentage of customer segregated funds required: % ; or

0

c. Dollar amount range between: and; or

0 0

d. Percentage range of customer segregated funds required between: % and %.

0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

1,000,000

b. Minimum percentage of customer secured funds required: % ; or

0

c. Dollar amount range between: and; or

0 0

d. Percentage range of customer secured funds required between: % and %.

0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

0

b. Minimum percentage of cleared swaps customer collateral required: % ; or

0

c. Dollar amount range between: and; or

0 0

d. Percentage range of cleared swaps customer collateral required between: % and %.

0 0

Attach supporting documents
INITIAL
End Date:12/31/2019
Firm Name:WEDBUSH SECURITIES INC
Form:Daily Seg - FOCUS II
Submit Date:1/2/2020

**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,852,365 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 336,691 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 12,189,056 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,328 [7351]

   Less: amount offset by customer owned securities 0 [7352] 3,328 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 12,192,384 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 12,192,384 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States 3,538,492 [7500]
   B. Other banks qualified under Regulation 30.7 1,769,433 [7520] 5,307,925 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 6,060,520 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 6,060,520 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 3,636,573 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 3,636,573 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 424,806 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 424,806 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,429,824 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,237,440 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,237,440 [7785]
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA) Act)

1. Net ledger balance
   A. Cash: $860,748,970
   B. Securities (at market): $179,033,212

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: $80,756,988

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market: $1,597,756,879
   B. Deduct market value of open option contracts granted (sold) on a contract market: $1,610,906,379

4. Net equity (deficit) (add lines 1, 2 and 3): $1,107,389,670

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: $1,236,638

   Less: amount offset by customer securities: $-310,852

   925,786

6. Amount required to be segregated (add lines 4 and 5): $1,108,315,456

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash: $251,489,820
   B. Securities representing investments of customers' funds (at market): $0
   C. Securities held for particular customers or option customers in lieu of cash (at market): $890,334

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash: $403,895,655
   B. Securities representing investments of customers' funds (at market): $339,550,122
   C. Securities held for particular customers or option customers in lieu of cash (at market): $175,811,998

9. Net settlement from (to) derivatives clearing organizations of contract markets: $-24,698,420

10. Exchange traded options
    A. Value of open long option contracts: $1,597,756,879
    B. Value of open short option contracts: $-1,610,906,379

11. Net equities with other FCMs
    A. Net liquidating equity: $0
    B. Securities representing investments of customers' funds (at market): $0
    C. Securities held for particular customers or option customers in lieu of cash (at market): $0

12. Segregated funds on hand: $2,330,880

13. Total amount in segregation (add lines 7 through 12): $1,136,120,889

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): $27,805,433

15. Management Target Amount for Excess funds in segregation: $19,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: $8,805,433

Excess
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)
2. Net unrealized profit (loss) in open cleared swaps
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. Net Equity (deficit) (add lines 1, 2, and 3)
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
9. Net settlement from (to) derivatives clearing organizations
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts
11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. Cleared swaps customer funds on hand
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
15. Management Target Amount for Excess funds in cleared swaps segregated accounts
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management