INITIAL
End Date: 1/7/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/8/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   
   **A. Cash**  
   $10,726,269 [7315]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   $959,242 [7325]

3. **Exchange traded options**
   
   a. Market value of open option contracts purchased on a foreign board of trade: $0 [7335]
   
   b. Market value of open contracts granted (sold) on a foreign board of trade: $0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   $11,685,511 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   $12,598 [7351]

   Less: amount offset by customer owned securities: $0 [7352]  
   $12,598 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   $11,698,109 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   $11,698,109 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   
   A. Banks located in the United States  
   $2,444,865 [7500]

   B. Other banks qualified under Regulation 30.7  
   $2,089,906 [7520]  
   $4,534,771 [7530]

2. **Securities**
   
   A. In safekeeping with banks located in the United States  
   $0 [7540]

   B. In safekeeping with other banks qualified under Regulation 30.7  
   $0 [7560]  
   $0 [7570]

3. **Equities with registered futures commission merchants**
   
   A. Cash  
   $6,099,669 [7580]

   B. Securities  
   $0 [7590]

   C. Unrealized gain (loss) on open futures contracts  
   $0 [7600]

   D. Value of long option contracts  
   $0 [7610]

   E. Value of short option contracts  
   $0 [7615]  
   $6,099,669 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   
   A. Cash  
   $3,914,915 [7640]

   B. Securities  
   $0 [7650]

   C. Amount due to (from) clearing organization - daily variation  
   $0 [7660]

   D. Value of long option contracts  
   $0 [7670]

   E. Value of short option contracts  
   $0 [7675]  
   $3,914,915 [7680]

5. **Amounts held by members of foreign boards of trade**
   
   A. Cash  
   $458,548 [7700]

   B. Securities  
   $0 [7710]

   C. Unrealized gain (loss) on open futures contracts  
   $0 [7720]

   D. Value of long option contracts  
   $0 [7730]

   E. Value of short option contracts  
   $0 [7735]  
   $458,548 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  
   $0 [7760]

7. **Segregated funds on hand**  
   $0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   $14,917,903 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   $3,219,794 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    $1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    $2,219,794 [7785]
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 924,598,549 [7010]
   B. Securities (at market) 173,464,099 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 32,047,167 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,636,354,699 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,649,847,697 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,116,616,817 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,294,823 [7045]
   Less: amount offset by customer securities -324,936 [7047] 969,887 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,117,586,704 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash 243,358,849 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 909,494 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 429,885,252 [7100]
   B. Securities representing investments of customers' funds (at market) 309,539,855 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 170,184,728 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 1,740,028 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,636,354,699 [7132]
    B. Value of open short option contracts -1,649,847,697 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,369,877 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,144,495,085 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 26,908,381 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 7,908,381 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   - Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]