INITIAL
End Date: 1/17/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/21/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,006,122 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 385,216 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,391,338 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 18,835 [7351]
   Less: amount offset by customer owned securities 0 [7352] 18,835 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 11,410,173 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6. 11,410,173 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 2,487,337 [7500]
   B. Other banks qualified under Regulation 30.7 2,017,462 [7520] 4,504,799 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 4,760,907 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 4,760,907 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,029,448 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,029,448 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 630,859 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 630,859 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 13,926,013 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
Page 1 from Line 8) 2,515,840 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,515,840 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEA C)  

1. Net ledger balance  
   A. Cash \[1,127,088,979\] [7010]  
   B. Securities (at market) \[156,623,957\] [7020]  

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  
   \[80,014,958\] [7030]  

3. Exchange traded options  
   A. Add market value of open option contracts purchased on a contract market  
      \[1,825,389,509\] [7032]  
   B. Deduct market value of open option contracts granted (sold) on a contract market  
      \[-2,066,578,928\] [7033]  

4. Net equity (deficit) (add lines 1, 2 and 3)  
   \[1,122,538,475\] [7040]  

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  
   \[1,309,847\] [7045]  
   Less: amount offset by customer securities  
   \[-382,673\] [7047]  
   \[927,174\] [7050]  

6. Amount required to be segregated (add lines 4 and 5)  
   \[1,123,465,649\] [7060]  

7. Deposited in segregated funds bank accounts  
   A. Cash  
      \[196,305,743\] [7070]  
   B. Securities representing investments of customers' funds (at market)  
      \[0\] [7080]  
   C. Securities held for particular customers or option customers in lieu of cash (at market)  
      \[915,960\] [7090]  

8. Margins on deposit with derivatives clearing organizations of contract markets  
   A. Cash  
      \[734,840,554\] [7100]  
   B. Securities representing investments of customers' funds (at market)  
      \[299,546,174\] [7110]  
   C. Securities held for particular customers or option customers in lieu of cash (at market)  
      \[153,366,380\] [7120]  

9. Net settlement from (to) derivatives clearing organizations of contract markets  
   \[3,917,944\] [7130]  

10. Exchange traded options  
    A. Value of open long option contracts  
       \[1,825,389,509\] [7132]  
    B. Value of open short option contracts  
       \[-2,066,578,928\] [7133]  

11. Net equities with other FCMs  
    A. Net liquidating equity  
       \[0\] [7140]  
    B. Securities representing investments of customers' funds (at market)  
       \[0\] [7160]  
    C. Securities held for particular customers or option customers in lieu of cash (at market)  
       \[0\] [7170]  

12. Segregated funds on hand  
    \[2,341,617\] [7150]  

13. Total amount in segregation (add lines 7 through 12)  
    \[1,150,044,953\] [7180]  

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  
    \[26,579,304\] [7190]  

15. Management Target Amount for Excess funds in segregation  
    \[19,000,000\] [7194]  

16. Excess (deficiency) funds in segregation over (under) Management Target Amount  
    Excess  
    \[7,579,304\] [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]