INITIAL
End Date: 1/22/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/23/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $19,000,000

b. Minimum percentage of customer segregated funds required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $1,000,000

b. Minimum percentage of customer secured funds required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $0

b. Minimum percentage of cleared swaps customer collateral required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**Daily Segregation - Secured Amounts**

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: 12,706,431
   - **Securities (at market)**: 0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 267,485

3. **Exchange traded options**
   - Market value of open option contracts purchased on a foreign board of trade: 0
   - Market value of open contracts granted (sold) on a foreign board of trade: 0

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 12,973,916

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 25,884
   - Less: amount offset by customer owned securities: 0
   - **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 12,999,800

6. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 12,999,800

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - Banks located in the United States: 5,022,130
   - Other banks qualified under Regulation 30.7: 2,020,269

2. **Securities**
   - In safekeeping with banks located in the United States: 0
   - In safekeeping with other banks qualified under Regulation 30.7: 0

3. **Equities with registered futures commission merchants**
   - Cash: 3,924,439
   - Securities: 0
   - Unrealized gain (loss) on open futures contracts: 0
   - Value of long option contracts: 0
   - Value of short option contracts: 3,924,439

4. **Amounts held by clearing organizations of foreign boards of trade**
   - Cash: 4,155,905
   - Securities: 0
   - Amount due to (from) clearing organization - daily variation: 0
   - Value of long option contracts: 0
   - Value of short option contracts: 4,155,905

5. **Amounts held by members of foreign boards of trade**
   - Cash: 417,001
   - Securities: 0
   - Unrealized gain (loss) on open futures contracts: 0
   - Value of long option contracts: 0
   - Value of short option contracts: 417,001

6. **Amounts with other depositories designated by a foreign board of trade**
   - Cash: 0
   - Securities: 0

7. **Segregated funds on hand**: 0

8. **Total funds in separate section 30.7 accounts**: 15,539,744

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,539,944

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,539,944
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 992,891,454 [7010]
   B. Securities (at market) 156,433,971 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 105,259,965 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,075,871,564 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,215,994,837 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,114,462,117 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,076,591 [7045]
   Less: amount offset by customer securities -152,824 [7047] 923,767 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,115,385,884 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 191,864,046 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 916,766 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 602,457,372 [7100]
   B. Securities representing investments of customers' funds (at market) 324,551,888 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 153,184,518 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 6,086,159 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,075,871,564 [7132]
    B. Value of open short option contracts -2,215,994,837 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,332,687 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,141,270,163 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 25,884,279 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 6,884,279 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td>0 [8500]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8500]</td>
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<tr>
<td>B. Securities (at market)</td>
<td>0 [8510]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8520]</td>
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<tr>
<td>3. Cleared swaps options</td>
<td>0 [8530]</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8540]</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
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<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>0 [8570] 0 [8580]</td>
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<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
<td>0 [8590]</td>
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<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<tr>
<td>A. Cash</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<tr>
<td>9. Net settlement from (to) derivatives clearing organizations</td>
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<td>10. Cleared swaps options</td>
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<tr>
<td>A. Value of open cleared swaps long option contracts</td>
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<tr>
<td>B. Value of open cleared swaps short option contracts</td>
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<tr>
<td>11. Net equities with other FCMs</td>
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<tr>
<td>A. Net liquidating equity</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8740]</td>
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<tr>
<td>12. Cleared swaps customer funds on hand</td>
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</tr>
<tr>
<td>A. Cash</td>
<td>0 [8760]</td>
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<tr>
<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<tr>
<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<tr>
<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<tr>
<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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