Name of Company  

Contact Name  

Contact Phone Number  

Contact Email Address  

FCM’s Customer Segregated Funds Residual Interest Target (choose one):  
a. Minimum dollar amount: ; or  
   
b. Minimum percentage of customer segregated funds required: % ; or  
c. Dollar amount range between: and; or  
d. Percentage range of customer segregated funds required between: % and%.  

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):  
a. Minimum dollar amount: ; or  
   
b. Minimum percentage of customer secured funds required: % ; or  
c. Dollar amount range between: and; or  
d. Percentage range of customer secured funds required between: % and%.  

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):  
a. Minimum dollar amount: ; or  
   
b. Minimum percentage of cleared swaps customer collateral required: % ; or  
c. Dollar amount range between: and; or  
d. Percentage range of cleared swaps customer collateral required between: % and%.  

Attach supporting documents
INITIAL
End Date: 1/24/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/27/2020

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash                                                                       | 13,884,512 [7315]  |
   B. Securities (at market)                                                    | 0 [7317]           |

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | -1,269,475 [7325]  |

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade | 0 [7335]           |
   b. Market value of open contracts granted (sold) on a foreign board of trade  | 0 [7337]           |

4. Net equity (deficit) (add lines 1. 2. and 3.)                                | 12,615,037 [7345]  |

5. Account liquidating to a deficit and account with a debit balances - gross amount | 81,962 [7351]      |
   Less: amount offset by customer owned securities                             | 0 [7352]           |
   0 [7354]                                                                   |

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | 12,696,999 [7355]  |

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 | 12,696,999 [7360]  |

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States                                      | 4,916,731 [7500]   |
   B. Other banks qualified under Regulation 30.7                             | 3,212,287 [7520]   |
                                                                                   | 8,129,018 [7530]   |

2. Securities
   A. In safekeeping with banks located in the United States                 | 0 [7540]           |
   B. In safekeeping with other banks qualified under Regulation 30.7         | 0 [7560]           |
                                                                                   | 0 [7570]           |

3. Equities with registered futures commission merchants
   A. Cash                                                                     | 3,405,116 [7580]   |
   B. Securities                                                               | 0 [7590]           |
   C. Unrealized gain (loss) on open futures contracts                         | 0 [7600]           |
   D. Value of long option contracts                                           | 0 [7610]           |
   E. Value of short option contracts                                          | 0 [7615]           |
                                                                                   | 3,405,116 [7620]   |

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash                                                                     | 3,227,063 [7640]   |
   B. Securities                                                               | 0 [7650]           |
   C. Amount due to (from) clearing organization - daily variation             | 0 [7660]           |
   D. Value of long option contracts                                           | 0 [7670]           |
   E. Value of short option contracts                                          | 0 [7675]           |
                                                                                   | 3,227,063 [7680]   |

5. Amounts held by members of foreign boards of trade
   A. Cash                                                                     | 451,538 [7700]     |
   B. Securities                                                               | 0 [7710]           |
   C. Unrealized gain (loss) on open futures contracts                         | 0 [7720]           |
   D. Value of long option contracts                                           | 0 [7730]           |
   E. Value of short option contracts                                          | 0 [7735]           |
                                                                                   | 451,538 [7740]     |

6. Amounts with other depositories designated by a foreign board of trade     | 0 [7760]           |

7. Segregated funds on hand                                                   | 0 [7765]           |

8. Total funds in separate section 30.7 accounts                              | 15,212,735 [7770]  |

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 2,515,736 [7380]  |

10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780]   |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 1,515,736 [7785]   |
INITIAL
End Date: 1/24/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/27/2020

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 974,824,116 [7010]
   B. Securities (at market) 152,256,477 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 138,215,186 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,173,383,837 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -2,358,040,744 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,080,638,872 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,135,778 [7045]
   Less: amount offset by customer securities -143,739 [7047] 992,039 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,081,630,911 [7060]

Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   A. Cash 164,232,810 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 921,617 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 645,425,736 [7100]
   B. Securities representing investments of customers’ funds (at market) 329,505,718 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 148,996,754 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 1,163,674 [7130]

10. Exchange traded options
    A. Value of open long option contracts 2,173,383,837 [7132]
    B. Value of open short option contracts -2,358,040,744 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,338,106 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,107,927,508 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 26,296,597 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 7,296,597 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash: 0 [8500]
   B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]
   Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash: 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash: 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts: 0 [8670]
    B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity: 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash: 0
    B. Securities representing investments of cleared swaps customers' funds (at market): 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]