INITIAL
End Date: 1/28/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/29/2020
FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder. 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   
   A. Cash 16,253,511 [7315]
   
   B. Securities (at market) 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

3. **Exchange traded options**
   
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 13,506,135 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**

   Less: amount offset by customer owned securities 0 [7352] 5,296 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 13,506,135 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 13,506,135 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   
   A. Banks located in the United States 4,911,196 [7500]
   
   B. Other banks qualified under Regulation 30.7 3,209,544 [7520] 8,120,740 [7530]

2. **Securities**
   
   A. In safekeeping with banks located in the United States 0 [7540]
   
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   
   A. Cash 4,285,101 [7580]
   
   B. Securities 0 [7590]
   
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   
   D. Value of long option contracts 0 [7610]
   
   E. Value of short option contracts 0 [7615] 4,285,101 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   
   A. Cash 3,239,948 [7640]
   
   B. Securities 0 [7650]
   
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   
   D. Value of long option contracts 0 [7670]
   
   E. Value of short option contracts 0 [7675] 3,239,948 [7680]

5. **Amounts held by members of foreign boards of trade**
   
   A. Cash 482,629 [7700]
   
   B. Securities 0 [7710]
   
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   
   D. Value of long option contracts 0 [7730]
   
   E. Value of short option contracts 0 [7735] 482,629 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**

   0 [7760]

7. **Segregated funds on hand**

8. **Total funds in separate section 30.7 accounts**

   16,128,418 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

   2,622,283 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**

    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

    1,622,283 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - \[957,806,022 \] (7010)
   - **B. Securities (at market)**
     - \[133,401,797 \] (7020)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \[88,922,406 \] (7030)

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - \[2,300,508,881 \] (7032)
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - \[-2,436,430,575 \] (7033)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \[1,044,208,531 \] (7040)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \[2,076,172 \] (7045)
   - Less: amount offset by customer securities
     - \[-132,483 \] (7047) \[1,943,689 \] (7050)
   - **1,943,689** (7050)

6. **Amount required to be segregated (add lines 4 and 5)**
   - \[1,046,152,220 \] (7060)

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - \[222,193,745 \] (7070)
   - **B. Securities representing investments of customers’ funds (at market)**
     - \[0 \] (7080)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \[921,796 \] (7090)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - \[514,350,607 \] (7100)
   - **B. Securities representing investments of customers’ funds (at market)**
     - \[339,411,712 \] (7110)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \[130,115,170 \] (7120)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \[-2,268,134 \] (7130)

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - \[2,300,508,881 \] (7132)
    - **B. Value of open short option contracts**
      - \[-2,436,430,575 \] (7133)

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - \[0 \] (7140)
    - **B. Securities representing investments of customers’ funds (at market)**
      - \[0 \] (7160)
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - \[0 \] (7170)

12. **Segregated funds on hand**
    - \[2,364,831 \] (7150)

13. **Total amount in segregation (add lines 7 through 12)**
    - \[1,071,169,033 \] (7180)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \[25,015,813 \] (7190)

15. **Management Target Amount for Excess funds in segregation**
    - \[19,000,000 \] (7194)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - \[6,015,813 \] (7198)
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDs IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]