INITIAL
End Date: 2/11/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/12/2020
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or
b. Minimum percentage of customer segregated funds required:% ; or
c. Dollar amount range between:and; or
d. Percentage range of customer segregated funds required between:% and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or
b. Minimum percentage of customer secured funds required:% ; or
c. Dollar amount range between:and; or
d. Percentage range of customer secured funds required between:% and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or
b. Minimum percentage of cleared swaps customer collateral required:% ; or
c. Dollar amount range between:and; or
d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 12,680,193 [7315]
   B. Securities (at market) 0 [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -1,444,569 [7325]
3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.) 11,235,624 [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount 10,155 [7351]
Less: amount offset by customer owned securities 0 [7352] 10,155 [7354]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,245,779 [7355]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,245,779 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS
1. Cash in banks
   A. Banks located in the United States 2,769,351 [7500]
   B. Other banks qualified under Regulation 30.7 4,260,218 [7520] 7,029,569 [7530]
2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]
3. Equities with registered futures commission merchants
   A. Cash 3,161,847 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,161,847 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 3,347,754 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 3,347,754 [7680]
5. Amounts held by members of foreign boards of trade
   A. Cash 465,113 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 465,113 [7740]
6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 14,004,283 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,758,504 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,758,504 [7785]
**INITIAL**

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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash 1,049,955,417[7010]
   - B. Securities (at market) 134,637,469[7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 614,182[7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market 2,611,280,430[7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market -2,806,123,857[7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 990,363,641[7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,067,635[7045]
   - Less: amount offset by customer securities -146,306[7047] 921,329[7050]

6. Amount required to be segregated (add lines 4 and 5) 991,284,970[7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash 196,234,050[7070]
   - B. Securities representing investments of customers' funds (at market) 0[7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 922,262[7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash 469,629,886[7100]
   - B. Securities representing investments of customers' funds (at market) 409,260,187[7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 131,374,471[7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 6,663,110[7130]

10. Exchange traded options
    - A. Value of open long option contracts 2,611,280,430[7132]
    - B. Value of open short option contracts -2,806,123,856[7133]

11. Net equities with other FCMs
    - A. Net liquidating equity 0[7140]
    - B. Securities representing investments of customers' funds (at market) 0[7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market) 0[7170]

12. Segregated funds on hand 2,340,736[7150]

13. Total amount in segregation (add lines 7 through 12) 1,021,581,276[7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 30,296,306[7190]

15. Management Target Amount for Excess funds in segregation 19,000,000[7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 11,296,306[7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]