INITIAL
End Date: 2/14/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/18/2020
Name of Company: WEDBUSH SECURITIES INC

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   
   - **A. Cash**
     - 14,112,487 [7315]
   
   - **B. Securities (at market)**
     - 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - -1,486,927 [7325]

3. **Exchange traded options**
   
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 12,625,560 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 12,950 [7351]

   Less: amount offset by customer owned securities: 0 [7352] 12,950 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 12,638,510 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 12,638,510 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   
   - A. Banks located in the United States: 3,761,259 [7500]
   
   - B. Other banks qualified under Regulation 30.7: 4,232,911 [7520] 7,994,170 [7530]

2. **Securities**
   
   - A. In safekeeping with banks located in the United States: 0 [7540]
   
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   
   - A. Cash: 3,608,385 [7580]
   
   - B. Securities: 0 [7590]
   
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   
   - D. Value of long option contracts: 0 [7610]
   
   - E. Value of short option contracts: 0 [7615] 3,608,385 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   
   - A. Cash: 3,317,110 [7640]
   
   - B. Securities: 0 [7650]
   
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   
   - D. Value of long option contracts: 0 [7670]
   
   - E. Value of short option contracts: 0 [7675] 3,317,110 [7680]

5. **Amounts held by members of foreign boards of trade**
   
   - A. Cash: 483,155 [7700]
   
   - B. Securities: 0 [7710]
   
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   
   - D. Value of long option contracts: 0 [7730]
   
   - E. Value of short option contracts: 0 [7735] 483,155 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 15,402,820 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 2,764,310 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 1,764,310 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: $990,018,664 [7010]
   - B. Securities (at market): $193,485,442 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $115,647,040 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $2,560,609,528 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -$2,822,489,185 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,037,271,489 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $1,069,554 [7045]
   - Less: amount offset by customer securities: -$138,997 [7047]
   - 930,557 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: $1,038,202,046 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: $103,641,417 [7070]
   - B. Securities representing investments of customers’ funds (at market): $0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $908,994 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $652,838,801 [7100]
   - B. Securities representing investments of customers’ funds (at market): $374,225,955 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $190,250,729 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $450,100 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: $2,560,609,528 [7132]
    - B. Value of open short option contracts: -$2,822,489,185 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 [7140]
    - B. Securities representing investments of customers’ funds (at market): $0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 [7170]

12. **Segregated funds on hand**: $2,325,719 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $1,062,762,058 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $24,560,012 [7190]

15. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $5,560,012 [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **Cash**: \(0\) [8500]
   - **Securities (at market)**: \(0\) [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: \(0\) [8520]

3. **Cleared swaps options**
   - **Market value of open cleared swaps option contracts purchased**: \(0\) [8530]
   - **Market value of open cleared swaps option contracts granted (sold)**: \(0\) [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: \(0\) [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: \(0\) [8560] [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: \(0\) [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **Cash**: \(0\) [8600]
   - **Securities representing investments of cleared swaps customers' funds (at market)**: \(0\) [8610]
   - **Securities held for particular cleared swaps customers in lieu of cash (at market)**: \(0\) [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **Cash**: \(0\) [8630]
   - **Securities representing investments of cleared swaps customers' funds (at market)**: \(0\) [8640]
   - **Securities held for particular cleared swaps customers in lieu of cash (at market)**: \(0\) [8650]

9. **Net settlement from (to) derivatives clearing organizations**: \(0\) [8660]

10. **Cleared swaps options**
    - **Value of open cleared swaps long option contracts**: \(0\) [8670]
    - **Value of open cleared swaps short option contracts**: \(0\) [8680]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: \(0\) [8690]
    - **Securities representing investments of cleared swaps customers' funds (at market)**: \(0\) [8700]
    - **Securities held for particular cleared swaps customers in lieu of cash (at market)**: \(0\) [8710]

12. **Cleared swaps customer funds on hand**
    - **Cash**: \(0\)
    - **Securities representing investments of cleared swaps customers' funds (at market)**: \(0\)
    - **Securities held for particular cleared swaps customers in lieu of cash (at market)**: \(0\) [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: \(0\) [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: \(0\) [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: \(0\) [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: \(0\) [8770]