WINJAMMER FILING

INITIAL
End Date: 2/20/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/21/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0

#### 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>12,545,695</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
</tbody>
</table>

#### 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 11,317,137

#### 3. Exchange traded options

- a. Market value of open option contracts purchased on a foreign board of trade: 0
- b. Market value of open contracts granted (sold) on a foreign board of trade: 0

#### 4. Net equity (deficit) (add lines 1. 2. and 3.)

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 11,317,137

#### 5. Account liquidating to a deficit and account with a debit balances - gross amount

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 11,317,137

#### 6. Fund Deposited in Separate Regulation 30.7 Accounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>6,810,532</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
</tr>
</tbody>
</table>
| C. Equities with registered futures commission merchants
  | A. Cash                                          | 3,242,359    |
| B. Securities                                    | 0            |
| C. Unrealized gain (loss) on open futures contracts | 0            |
| D. Value of long option contracts                | 0            |
| E. Value of short option contracts               | 0            |

#### 4. Amounts held by clearing organizations of foreign boards of trade

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>528,198</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
</tr>
</tbody>
</table>

#### 5. Amounts held by members of foreign boards of trade

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>3,550,108</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0</td>
</tr>
</tbody>
</table>

#### 6. Amounts with other depositories designated by a foreign board of trade

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 528,198

#### 7. Segregated funds on hand

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 0

#### 8. Total funds in separate section 30.7 accounts

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 14,131,197

#### 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 2,814,060

#### 10. Management Target Amount for Excess funds in separate section 30.7 accounts

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 1,000,000

#### 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

- Amount required to be set aside as the secured amount - Net Liquidating Equity: 1,814,060
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. **Net ledger balance**
   - A. Cash 829,668,306 [7010]
   - B. Securities (at market) 178,168,483 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 103,119,398 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market 2,908,276,594 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market -2,921,667,099 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,097,565,682 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,652,472 [7045]
   - Less: amount offset by customer securities -122,122 [7047] 1,530,350 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,099,096,032 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash 143,155,429 [7070]
   - B. Securities representing investments of customers’ funds (at market) 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 909,541 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash 405,942,787 [7100]
   - B. Securities representing investments of customers’ funds (at market) 434,145,209 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 174,853,684 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 22,944,354 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts 2,908,276,594 [7132]
    - B. Value of open short option contracts -2,921,667,099 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [7140]
    - B. Securities representing investments of customers’ funds (at market) 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. **Segregated funds on hand**
    - 2,405,258 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,125,077,049 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 25,981,017 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess 6,981,017 [7198]
**Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8715]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8730]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8760]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]