AMENDMENT
End Date: 2/25/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/27/2020
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Foreign Futures and Foreign Options Secured Amounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
<td></td>
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</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash | 13,720,209 [7315] |
   - B. Securities (at market) | 0 [7317] |

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | -1,127,125 [7325] |

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade | 0 [7335] |
   - b. Market value of open contracts granted (sold) on a foreign board of trade | 0 [7337] |

4. **Net equity (deficit) (add lines 1. 2. and 3.)** | 12,593,084 [7345] |

5. **Account liquidating to a deficit and account with a debit balances - gross amount** | 9,652 [7351] |
   - Less: amount offset by customer owned securities | 0 [7352] 9,652 [7354] |

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** | 12,602,736 [7355] |

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** | 12,602,736 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States | 1,878,966 [7500] |
   - B. Other banks qualified under Regulation 30.7 | 4,192,610 [7520] 6,071,576 [7530] |

2. **Securities**
   - A. In safekeeping with banks located in the United States | 0 [7540] |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

3. **Equities with registered futures commission merchants**
   - A. Cash | 5,084,288 [7580] |
   - B. Securities | 0 [7590] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   - D. Value of long option contracts | 0 [7610] |
   - E. Value of short option contracts | 0 [7615] 5,084,288 [7620] |

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash | 3,745,456 [7640] |
   - B. Securities | 0 [7650] |
   - C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   - D. Value of long option contracts | 0 [7670] |
   - E. Value of short option contracts | 0 [7675] 3,745,456 [7680] |

5. **Amounts held by members of foreign boards of trade**
   - A. Cash | 587,805 [7700] |
   - B. Securities | 0 [7710] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   - D. Value of long option contracts | 0 [7730] |
   - E. Value of short option contracts | 0 [7735] 587,805 [7740] |

6. **Amounts with other depositories designated by a foreign board of trade** | 0 [7760] |

7. **Segregated funds on hand** | 0 [7765] |

8. **Total funds in separate section 30.7 accounts** | 15,489,125 [7770] |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | 2,886,389 [7780] |

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** | 1,000,000 [7780] |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | 1,886,389 [7785] |
Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash                                           1,043,768,659 [7010]
   B. Securities (at market)                         174,271,556 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 207,017,080 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 2,860,296,194 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -3,263,724,258 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,021,629,231 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,274,469 [7045]
   Less: amount offset by customer securities -355,696 [7047] 918,773 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,022,548,004 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash                                           144,361,118 [7070]
   B. Securities representing investments of customers’ funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 901,051 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash                                           651,621,127 [7100]
   B. Securities representing investments of customers’ funds (at market) 484,075,451 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 170,883,750 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -1,040,689 [7130]

10. Exchange traded options
   A. Value of open long option contracts 2,860,296,194 [7132]
   B. Value of open short option contracts -3,263,724,258 [7133]

11. Net equities with other FCMs
   A. Net liquidating equity 0 [7140]
   B. Securities representing investments of customers’ funds (at market) 0 [7160]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,486,755 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,049,860,499 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,312,495 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 8,312,495 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]