Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash 14,642,335 [7315]
   - B. Securities (at market) 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - -1,283,468 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 13,358,867 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 7,325 [7351]
   - Less: amount offset by customer owned securities 0 [7352] 7,325 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 13,366,192 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 13,366,192 [7360]

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### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States 4,256,102 [7500]
   - B. Other banks qualified under Regulation 30.7 4,138,502 [7520] 8,394,604 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 3,372,715 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 3,372,715 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 3,818,427 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 3,818,427 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 636,677 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 636,677 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 16,222,423 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 2,856,231 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 1,856,231 [7785]
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Net ledger balance</td>
<td></td>
<td></td>
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<tr>
<td>A. Cash</td>
<td>1,043,768,659 [7010]</td>
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<tr>
<td>B. Securities (at market)</td>
<td>174,271,556 [7020]</td>
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<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>207,017,080 [7030]</td>
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<tr>
<td>3. Exchange traded options</td>
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<td></td>
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<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>2,860,296,194 [7032]</td>
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<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-3,263,724,258 [7033]</td>
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<tr>
<td>4. Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>1,021,629,231 [7040]</td>
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<td>5. Accounts liquidating to a deficit and accounts with</td>
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<td>debit balances - gross amount</td>
<td>1,274,469 [7045]</td>
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<tr>
<td>Less: amount offset by customer securities</td>
<td>-355,696 [7047]</td>
<td>918,773 [7050]</td>
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<td>6. Amount required to be segregated (add lines 4 and 5)</td>
<td>1,022,548,504 [7060]</td>
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<tr>
<td><strong>FUNDS IN SEGREGATED ACCOUNTS</strong></td>
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<tr>
<td>7. Deposited in segregated funds bank accounts</td>
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<tr>
<td>A. Cash</td>
<td>144,361,118 [7070]</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>0 [7080]</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>901,051 [7090]</td>
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<td>8. Margins on deposit with derivatives clearing organizations of contract markets</td>
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<tr>
<td>A. Cash</td>
<td>651,621,127 [7100]</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>484,075,451 [7110]</td>
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<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>170,883,750 [7120]</td>
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<td>9. Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>-1,040,689 [7130]</td>
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<tr>
<td>10. Exchange traded options</td>
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<tr>
<td>A. Value of open long option contracts</td>
<td>2,860,296,194 [7132]</td>
<td></td>
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<tr>
<td>B. Value of open short option contracts</td>
<td>-3,263,724,258 [7133]</td>
<td></td>
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<tr>
<td>11. Net equities with other FCMs</td>
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<tr>
<td>A. Net liquidating equity</td>
<td>0 [7140]</td>
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<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>0 [7160]</td>
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<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0 [7170]</td>
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<tr>
<td>12. Segregated funds on hand</td>
<td>2,486,755 [7150]</td>
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<tr>
<td>13. Total amount in segregation (add lines 7 through 12)</td>
<td>1,049,860,499 [7180]</td>
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<tr>
<td>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>27,312,495 [7190]</td>
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<tr>
<td>15. Management Target Amount for Excess funds in segregation</td>
<td>19,000,000 [7194]</td>
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<tr>
<td>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>8,312,495 [7198]</td>
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### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)
2. **Net unrealized profit (loss) in open cleared swaps**
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. **Net Equity (deficit) (add lines 1, 2, and 3)**
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
9. **Net settlement from (to) derivatives clearing organizations**
10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts
11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**