INITIAL
End Date: 2/26/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/27/2020
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   **A. Cash** 14,573,438 [7315]
   **B. Securities (at market)** 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -1,329,365 [7325]

3. Exchange traded options
   
   a. Market value of open option contracts purchased on a foreign board of trade - 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade - 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 13,244,073 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 48,053 [7351]

   Less: amount offset by customer owned securities - 0 [7352] 48,053 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 13,292,126 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 13,292,126 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   
   **A. Banks located in the United States** 2,493,278 [7500]
   **B. Other banks qualified under Regulation 30.7** 4,220,008 [7520] 6,713,286 [7530]

2. **Securities**
   
   **A. In safekeeping with banks located in the United States** 0 [7540]
   **B. In safekeeping with other banks qualified under Regulation 30.7** 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   
   **A. Cash** 4,551,641 [7580]
   **B. Securities** 0 [7590]
   **C. Unrealized gain (loss) on open futures contracts** 0 [7600]
   **D. Value of long option contracts** 0 [7610]
   **E. Value of short option contracts** 0 [7615] 4,551,641 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   
   **A. Cash** 3,836,078 [7640]
   **B. Securities** 0 [7650]
   **C. Amount due to (from) clearing organization - daily variation** 0 [7660]
   **D. Value of long option contracts** 0 [7670]
   **E. Value of short option contracts** 0 [7675] 3,836,078 [7680]

5. **Amounts held by members of foreign boards of trade**
   
   **A. Cash** 1,131,419 [7700]
   **B. Securities** 0 [7710]
   **C. Unrealized gain (loss) on open futures contracts** 0 [7720]
   **D. Value of long option contracts** 0 [7730]
   **E. Value of short option contracts** 0 [7735] 1,131,419 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 16,232,424 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount** (subtract line 7 Secured Statement Page 1 from Line 8) 2,940,298 [7380]

10. **Excess (deficiency) funds in separate section 30.7 accounts over (under) Management Target** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 1,940,298 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
   - **B. Securities (at market)**

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **241,345,468** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **1,042,343,139** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities
   - **918,658** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **1,043,261,797** [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash**
   - **B. Securities representing investments of customers' funds (at market)**
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
   - **B. Securities representing investments of customers' funds (at market)**
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **-8,826,211** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
    - **B. Value of open short option contracts**

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
    - **B. Securities representing investments of customers' funds (at market)**
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**

12. **Segregated funds on hand**
    - **2,410,669** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,071,694,427** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **28,432,630** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **9,432,630** [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

#### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]