INITIAL
End Date: 2/28/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/2/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 13,354,258 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 167,700 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 13,521,958 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 5,918 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 13,527,876 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 13,527,876 [7360]

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### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 3,519,302 [7500]
   - B. Other banks qualified under Regulation 30.7: 4,515,043 [7520] 8,034,345 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 4,231,834 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 4,231,834 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 4,054,732 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 4,054,732 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 186,649 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 186,649 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 16,507,560 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,979,684 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,979,684 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 987,920,834 [7010]
   - B. Securities (at market): 173,182,171 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 438,394,953 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 5,198,290,842 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -5,870,735,289 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 927,053,511 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 10,458,550 [7045]
   - Less: amount offset by customer securities: -890,361 [7047]
   - 9,568,189 [7050]

6. Amount required to be segregated (add lines 4 and 5): 936,621,700 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   - A. Cash: 62,263,519 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 878,728 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 923,876,177 [7100]
   - B. Securities representing investments of customers' funds (at market): 484,201,079 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 169,945,991 [7120]


10. Exchange traded options
    - A. Value of open long option contracts: 5,198,290,842 [7132]
    - B. Value of open short option contracts: -5,870,735,289 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 2,357,452 [7150]

13. Total amount in segregation (add lines 7 through 12): 955,683,582 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 19,061,882 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 61,882 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS**  
**AND**  
**FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**  
   A. Cash  
   B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**  
   A. Market value of open cleared swaps option contracts purchased
   B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

7. **Deposited in cleared swaps customer segregated accounts at banks**  
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**  
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**  
    A. Value of open cleared swaps long option contracts
    B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**  
    A. Net liquidating equity
    B. Securities representing investments of cleared swaps customers' funds (at market)
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**  
    A. Cash
    B. Securities representing investments of cleared swaps customers' funds (at market)
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**