INITIAL
End Date: 3/6/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/9/2020
Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $; or $19,000,000
b. Minimum percentage of customer segregated funds required: %; or 0%
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $; or $1,000,000
b. Minimum percentage of customer secured funds required: %; or 0%
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $; or 0
b. Minimum percentage of cleared swaps customer collateral required: %; or 0%
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
INITIAL  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 

0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 11,323,150 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 41,084 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,364,234 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,142 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,366,376 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,366,376 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 1,902,816 [7500]
   - B. Other banks qualified under Regulation 30.7: 4,545,906 [7520]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]

3. **Equities with registered futures commission merchants**
   - A. Cash: 3,399,424 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 4,345,268 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 163,853 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,357,267 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,990,891 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,990,891 [7785]
**Segregation Requirements (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - Cash: 1,340,415,771 [7010]
   - Securities (at market): 152,602,145 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 640,037,810 [7030]

3. **Exchange traded options**
   - Add market value of open option contracts purchased on a contract market: 5,354,107,428 [7032]
   - Deduct market value of open option contracts granted (sold) on a contract market: -6,489,568,448 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 997,594,706 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,939,574 [7045]
   - Less: amount offset by customer securities: -564,129 [7047] 1,375,445 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 998,970,151 [7060]

**Funds in Segregated Accounts**

7. **Deposited in segregated funds bank accounts**
   - Cash: 63,713,426 [7070]
   - Securities representing investments of customers' funds (at market): 0 [7080]
   - Securities held for particular customers or option customers in lieu of cash (at market): 916,422 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - Cash: 1,533,022,729 [7100]
   - Securities representing investments of customers' funds (at market): 449,678,554 [7110]
   - Securities held for particular customers or option customers in lieu of cash (at market): 149,347,987 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -34,925,968 [7130]

10. **Exchange traded options**
    - Value of open long option contracts: 5,354,107,428 [7132]
    - Value of open short option contracts: -6,489,568,448 [7133]

11. **Net equities with other FCMs**
    - Net liquidating equity: 0 [7140]
    - Securities representing investments of customers' funds (at market): 0 [7160]
    - Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 2,337,736 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,028,629,866 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 29,659,715 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 10,659,715 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
Funds in CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount: 0 [8560]
   Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]