INITIAL
End Date: 3/24/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/25/2020
Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: % ; or
   c. Dollar amount range between: and ; or
   d. Percentage range of customer segregated funds required between: % and %.

   19,000,000
   0
   0
   0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: % ; or
   c. Dollar amount range between: and ; or
   d. Percentage range of customer secured funds required between: % and %.

   1,000,000
   0
   0
   0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
   c. Dollar amount range between: and ; or
   d. Percentage range of cleared swaps customer collateral required between: % and %.

   0
   0
   0
   0

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 14,192,751 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -2,734,135 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,458,616 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 23,655 [7351]
   - **Less: amount offset by customer owned securities**: 0 [7352] 23,655 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,482,271 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,482,271 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 6,439,242 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 1,371,009 [7520] 7,810,251 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 2,416,939 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 2,416,939 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 4,565,193 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 4,565,193 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 66,221 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 66,221 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,858,604 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,376,333 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,376,333 [7785]
<table>
<thead>
<tr>
<th>Number</th>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net ledger balance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>981,499,541</td>
<td>[7010]</td>
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<td>B.</td>
<td>Securities (at market)</td>
<td>142,601,567</td>
<td>[7020]</td>
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<tr>
<td>2</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a contract market</td>
<td>435,925,784</td>
<td>[7030]</td>
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<td>3</td>
<td>Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Add market value of open option contracts purchased on a contract market</td>
<td>8,368,470,098</td>
<td>[7032]</td>
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<tr>
<td>B.</td>
<td>Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-8,689,564,224</td>
<td>[7033]</td>
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<tr>
<td>4</td>
<td>Net equity (deficit) (add lines 1, 2 and 3)</td>
<td>1,238,932,766</td>
<td>[7040]</td>
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<td>5</td>
<td>Accounts liquidating to a deficit and accounts with</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>debit balances - gross amount</td>
<td>1,775,203</td>
<td>[7045]</td>
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<tr>
<td></td>
<td>Less: amount offset by customer securities</td>
<td>-762,920</td>
<td>[7047]</td>
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<td></td>
<td>1,012,283</td>
<td>[7050]</td>
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<td>6</td>
<td>Amount required to be segregated (add lines 4 and 5)</td>
<td>1,239,945,049</td>
<td>[7060]</td>
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<tr>
<td>7</td>
<td>Deposited in segregated funds bank accounts</td>
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<td></td>
</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>119,307,392</td>
<td>[7070]</td>
</tr>
<tr>
<td>B.</td>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
<td>[7080]</td>
</tr>
<tr>
<td>C.</td>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>586,352</td>
<td>[7090]</td>
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<tr>
<td>8</td>
<td>Margins on deposit with derivatives clearing organizations of contract markets</td>
<td></td>
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</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>955,494,225</td>
<td>[7100]</td>
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<td>B.</td>
<td>Securities representing investments of customers’ funds (at market)</td>
<td>359,992,207</td>
<td>[7110]</td>
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<td>C.</td>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>140,113,059</td>
<td>[7120]</td>
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<td>9</td>
<td>Net settlement from (to) derivatives clearing organizations of contract markets</td>
<td>10,327,903</td>
<td>[7130]</td>
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<tr>
<td>10</td>
<td>Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Value of open long option contracts</td>
<td>8,368,470,098</td>
<td>[7132]</td>
</tr>
<tr>
<td>B.</td>
<td>Value of open short option contracts</td>
<td>-8,689,564,224</td>
<td>[7133]</td>
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<tr>
<td>11</td>
<td>Net equities with other FCMs</td>
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<td></td>
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<tr>
<td>A.</td>
<td>Net liquidating equity</td>
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<td>[7140]</td>
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<tr>
<td>B.</td>
<td>Securities representing investments of customers’ funds (at market)</td>
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<td>[7160]</td>
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<tr>
<td>C.</td>
<td>Securities held for particular customers or option customers in lieu of cash (at market)</td>
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<td>[7170]</td>
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<tr>
<td>12</td>
<td>Segregated funds on hand</td>
<td>1,902,156</td>
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<tr>
<td>13</td>
<td>Total amount in segregation (add lines 7 through 12)</td>
<td>1,266,629,168</td>
<td>[7180]</td>
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<tr>
<td>14</td>
<td>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</td>
<td>26,684,119</td>
<td>[7190]</td>
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<tr>
<td>15</td>
<td>Management Target Amount for Excess funds in segregation</td>
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<td>[7194]</td>
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<tr>
<td>16</td>
<td>Excess (deficiency) funds in segregation over (under) Management Target Amount</td>
<td>7,684,119</td>
<td>[7198]</td>
</tr>
</tbody>
</table>
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]