Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ； or 19,000,000
   b. Minimum percentage of customer segregated funds required: %； or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ； or 1,000,000
   b. Minimum percentage of customer secured funds required: %； or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ； or 0
   b. Minimum percentage of cleared swaps customer collateral required: %； or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: \( \text{14,037,471} [7315] \)
   - B. Securities (at market): \( \text{0} [7317] \)

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - \( \text{-3,080,900} [7325] \)

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \( \text{0} [7335] \)
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \( \text{0} [7337] \)

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - \( \text{10,956,571} [7345] \)

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - \( \text{156,489} [7355] \)

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - **Method (add lines 4 and 5)**
   - \( \text{11,113,060} [7355] \)

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - \( \text{11,113,060} [7360] \)

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: \( \text{6,003,923} [7500] \)
   - B. Other banks qualified under Regulation 30.7: \( \text{1,460,923} [7520] \text{, 7,464,846} [7530] \)

2. **Securities**
   - A. In safekeeping with banks located in the United States: \( \text{0} [7540] \)
   - B. In safekeeping with other banks qualified under Regulation 30.7: \( \text{0} [7560] \text{, 0} [7570] \)

3. **Equities with registered futures commission merchants**
   - A. Cash: \( \text{2,059,334} [7580] \)
   - B. Securities: \( \text{0} [7590] \)
   - C. Unrealized gain (loss) on open futures contracts: \( \text{0} [7600] \)
   - D. Value of long option contracts: \( \text{0} [7610] \)
   - E. Value of short option contracts: \( \text{0} [7615] \text{, 2,059,334} [7620] \)

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \( \text{4,895,007} [7640] \)
   - B. Securities: \( \text{0} [7650] \)
   - C. Amount due to (from) clearing organization - daily variation: \( \text{0} [7660] \)
   - D. Value of long option contracts: \( \text{0} [7670] \)
   - E. Value of short option contracts: \( \text{0} [7675] \text{, 4,895,007} [7680] \)

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \( \text{217,340} [7700] \)
   - B. Securities: \( \text{0} [7710] \)
   - C. Unrealized gain (loss) on open futures contracts: \( \text{0} [7720] \)
   - D. Value of long option contracts: \( \text{0} [7730] \)
   - E. Value of short option contracts: \( \text{0} [7735] \text{, 217,340} [7740] \)

6. **Amounts with other depositories designated by a foreign board of trade**
   - \( \text{0} [7760] \)

7. **Segregated funds on hand**
   - \( \text{0} [7765] \)

8. **Total funds in separate section 30.7 accounts**
   - \( \text{14,636,527} [7770] \)

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement**
   - **Page 1 from Line 8)**
   - \( \text{3,523,467} [7780] \)

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - \( \text{1,000,000} [7780] \)

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - \( \text{2,523,467} [7785] \)
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 822,917,244 [7010]
   - B. Securities (at market): 143,799,484 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 428,961,619 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 9,372,826,816 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -9,466,369,422 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,302,135,741 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: 615,866 [7047]
   - 1,058,464 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,303,194,205 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 270,151,507 [7070]
   - B. Securities representing investments of customers’ funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 733,566 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 704,866,980 [7100]
   - B. Securities representing investments of customers’ funds (at market): 304,987,184 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 141,060,029 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 121,230 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 9,372,826,816 [7132]
    - B. Value of open short option contracts: -9,466,369,422 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 2,005,889 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,330,383,779 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 27,189,574 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 8,189,574 [7198]
**INITIAL**

End Date: 3/31/2020  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 4/1/2020

**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]