Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   **A. Cash**
   
   14,816,216 [7315]

   **B. Securities (at market)**
   
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -3,305,623 [7325]

3. Exchange traded options
   
   **a. Market value of open option contracts purchased on a foreign board of trade**
   
   0 [7335]

   **b. Market value of open contracts granted (sold) on a foreign board of trade**
   
   0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,510,593 [7355]

5. Account liquidating to a deficit and account with a debit balances - gross amount 271,400 [7359]

Less: amount offset by customer owned securities 0 [7352] 271,400 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,781,993 [7360]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,781,993 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   
   **A. Banks located in the United States**
   
   6,300,538 [7500]

   **B. Other banks qualified under Regulation 30.7**
   
   1,433,473 [7520] 7,734,011 [7530]

2. Securities
   
   **A. In safekeeping with banks located in the United States**
   
   0 [7540]

   **B. In safekeeping with other banks qualified under Regulation 30.7**
   
   0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   
   **A. Cash**
   
   2,397,720 [7580]

   **B. Securities**
   
   0 [7590]

   **C. Unrealized gain (loss) on open futures contracts**
   
   0 [7600]

   **D. Value of long option contracts**
   
   0 [7610]

   **E. Value of short option contracts**
   
   0 [7615] 2,397,720 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   
   **A. Cash**
   
   4,814,322 [7640]

   **B. Securities**
   
   0 [7650]

   **C. Amount due to (from) clearing organization - daily variation**
   
   0 [7660]

   **D. Value of long option contracts**
   
   0 [7670]

   **E. Value of short option contracts**
   
   0 [7675] 4,814,322 [7680]

5. Amounts held by members of foreign boards of trade
   
   **A. Cash**
   
   137,521 [7700]

   **B. Securities**
   
   0 [7710]

   **C. Unrealized gain (loss) on open futures contracts**
   
   0 [7720]

   **D. Value of long option contracts**
   
   0 [7730]

   **E. Value of short option contracts**
   
   0 [7735] 137,521 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,083,574 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,301,581 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,301,581 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 762,356,184 [7010]
   - B. Securities (at market): 145,128,161 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 498,724,936 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 10,246,938,682 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -10,341,459,063 [7033]
   - Net equity (deficit) (add lines 1, 2 and 3): 1,311,688,900 [7040]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: -651,558 [7047]
   - Amount required to be segregated (add lines 4 and 5): 1,312,828,510 [7060]

5. **FUNDS IN SEGREGATED ACCOUNTS**
   - Deposited in segregated funds bank accounts:
     - A. Cash: 334,471,760 [7070]
     - B. Securities representing investments of customers' funds (at market): 0 [7080]
     - C. Securities held for particular customers or option customers in lieu of cash (at market): 733,566 [7090]

6. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 652,515,091 [7100]
   - B. Securities representing investments of customers' funds (at market): 304,985,207 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 142,388,706 [7120]

7. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -2,912,358 [7130]

8. **Exchange traded options**
   - A. Value of open long option contracts: 10,246,938,682 [7132]
   - B. Value of open short option contracts: -10,341,459,063 [7133]

9. **Net equities with other FCMs**
   - A. Net liquidating equity: 0 [7140]
   - B. Securities representing investments of customers' funds (at market): 0 [7160]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

10. **Segregated funds on hand**
    - 2,005,889 [7150]

11. **Total amount in segregation (add lines 7 through 12)**
    - 1,339,667,480 [7180]

12. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 26,838,970 [7190]

13. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

14. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 7,838,970 [7198]

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**Excess**
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)
2. Net unrealized profit (loss) in open cleared swaps
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. Net Equity (deficit) (add lines 1, 2, and 3)
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
9. Net settlement from (to) derivatives clearing organizations
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts
11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. Cleared swaps customer funds on hand
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
15. Management Target Amount for Excess funds in cleared swaps segregated accounts
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management

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<thead>
<tr>
<th>Description</th>
<th>Amount</th>
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