INITIAL
End Date: 4/3/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/6/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range: 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range: 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range: 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
INITIAL
End Date: 4/3/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/6/2020

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,514,897 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   -399,640 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1, 2. and 3.) 11,115,257 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   45,943 [7351]
   Less: amount offset by customer owned securities 0 [7352] 45,943 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 11,161,200 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6. 11,161,200 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 6,170,817 [7500]
   B. Other banks qualified under Regulation 30.7 1,408,765 [7520] 7,579,582 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 2,168,208 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 2,168,208 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,872,957 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,872,957 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 149,655 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 149,655 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,770,402 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
    Page 1 from Line 8) 3,609,202 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,609,202 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: \[1,083,786,513\] [7010]
   - B. Securities (at market): \[140,676,956\] [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \[182,314,869\] [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: \[5,401,692,129\] [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \[-5,524,953,168\] [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \[1,283,517,299\] [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \[1,597,733\] [7045]
   - Less: amount offset by customer securities: \[-526,200\] [7047]
   - Net: \[1,071,533\] [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - \[1,284,588,832\] [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: \[346,371,177\] [7070]
   - B. Securities representing investments of customers' funds (at market): \[30,000,000\] [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \[706,349\] [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: \[649,451,604\] [7100]
   - B. Securities representing investments of customers' funds (at market): \[274,987,655\] [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \[137,913,079\] [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \[-4,624,628\] [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: \[5,401,692,129\] [7132]
    - B. Value of open short option contracts: \[-5,524,953,168\] [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \[0\] [7140]
    - B. Securities representing investments of customers' funds (at market): \[0\] [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \[0\] [7170]

12. **Segregated funds on hand**
    - \[2,057,528\] [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - \[1,313,601,725\] [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \[29,012,893\] [7190]

15. **Management Target Amount for Excess funds in segregation**
    - \[19,000,000\] [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: \[10,012,893\] [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [8500]
   B. Securities (at market) [8510]

2. Net unrealized profit (loss) in open cleared swaps [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530]
   B. Market value of open cleared swaps option contracts granted (sold) [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560]
   Less: amount offset by customer owned securities [8570] [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]

9. Net settlement from (to) derivatives clearing organizations [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [8670]
    B. Value of open cleared swaps short option contracts [8680]

11. Net equities with other FCMs
    A. Net liquidating equity [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]

12. Cleared swaps customer funds on hand
    A. Cash [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8720]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8730]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8740]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8750]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8770]