INITIAL
End Date: 4/15/2020
Firm Name: WEBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/16/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of customer segregated funds required between: % and %.
     0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     1,000,000
  b. Minimum percentage of customer secured funds required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of customer secured funds required between: % and %.
     0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
     0
  c. Dollar amount range between: and; or
     0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %.
     0 0

Attach supporting documents
**INITIAL**
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### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - **A. Cash** 14,702,018 [7315]  
   - **B. Securities (at market)** 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -1,742,719 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]  
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 12,959,299 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 22,124 [7351]  
   - Less: amount offset by customer owned securities: 0 [7352] 22,124 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 12,981,423 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 12,981,423 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - **A. Banks located in the United States** 5,638,393 [7500]  
   - **B. Other banks qualified under Regulation 30.7** 1,397,784 [7520] 7,036,177 [7530]

2. Securities
   - **A. In safekeeping with banks located in the United States** 0 [7540]  
   - **B. In safekeeping with other banks qualified under Regulation 30.7** 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - **A. Cash** 3,597,402 [7580]  
   - **B. Securities** 0 [7590]  
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7600]  
   - **D. Value of long option contracts** 0 [7610]  
   - **E. Value of short option contracts** 0 [7615] 3,597,402 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - **A. Cash** 4,818,985 [7640]  
   - **B. Securities** 0 [7650]  
   - **C. Amount due to (from) clearing organization - daily variation** 0 [7660]  
   - **D. Value of long option contracts** 0 [7670]  
   - **E. Value of short option contracts** 0 [7675] 4,818,985 [7680]

5. Amounts held by members of foreign boards of trade
   - **A. Cash** 268,312 [7700]  
   - **B. Securities** 0 [7710]  
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7720]  
   - **D. Value of long option contracts** 0 [7730]  
   - **E. Value of short option contracts** 0 [7735] 268,312 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]  
7. Segregated funds on hand: 0 [7765]  
8. Total funds in separate section 30.7 accounts: 15,720,876 [7770]  
10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]  
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 1,739,453 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - 1,017,374,605 [7010]
   - **B. Securities (at market)**
     - 140,621,613 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 194,057,564 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 5,526,660,951 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -5,528,502,638 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,350,212,095 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,583,681 [7045]
   - Less: amount offset by customer securities
     - -537,174 [7047] 1,046,507 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,351,258,602 [7060]

**FUNDS IN SEREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - 283,452,689 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 768,811 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 611,167,098 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**
     - 329,952,141 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 136,715,434 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -21,207,396 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 5,526,660,951 [7132]
    - **B. Value of open short option contracts**
      - -5,528,502,638 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 3,137,368 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,372,144,458 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 20,885,856 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 1,885,856 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]

   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) 0 [8770]
    Management