FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and %. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
**INITIAL**

End Date: 4/16/2020  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 4/17/2020

### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
    A. Cash  
    B. Securities (at market)  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>15,619,776 [7315]</td>
</tr>
<tr>
<td>2</td>
<td>-2,705,956 [7325]</td>
</tr>
</tbody>
</table>

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>12,913,820 [7345]</td>
</tr>
</tbody>
</table>

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade  
   b. Market value of open contracts granted (sold) on a foreign board of trade  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>14,745 [7351]</td>
</tr>
</tbody>
</table>

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>6</td>
<td>12,928,565 [7355]</td>
</tr>
</tbody>
</table>

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>12,928,565 [7360]</td>
</tr>
</tbody>
</table>

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   A. Banks located in the United States  
   B. Other banks qualified under Regulation 30.7  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>5,625,164 [7500]</td>
</tr>
<tr>
<td>2</td>
<td>1,387,376 [7520]</td>
</tr>
</tbody>
</table>

2. **Securities**  
   A. In safekeeping with banks located in the United States  
   B. In safekeeping with other banks qualified under Regulation 30.7  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>0 [7540]</td>
</tr>
<tr>
<td>4</td>
<td>0 [7560]</td>
</tr>
</tbody>
</table>

3. **Equities with registered futures commission merchants**  
   A. Cash  
   B. Securities  
   C. Unrealized gain (loss) on open futures contracts  
   D. Value of long option contracts  
   E. Value of short option contracts  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>3,110,381 [7580]</td>
</tr>
<tr>
<td>6</td>
<td>5,024,616 [7640]</td>
</tr>
</tbody>
</table>

4. **Amounts held by clearing organizations of foreign boards of trade**  
   A. Cash  
   B. Securities  
   C. Amount due to (from) clearing organization - daily variation  
   D. Value of long option contracts  
   E. Value of short option contracts  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>561,065 [7700]</td>
</tr>
<tr>
<td>8</td>
<td>561,065 [7740]</td>
</tr>
</tbody>
</table>

5. **Amounts held by members of foreign boards of trade**  
   A. Cash  
   B. Securities  
   C. Unrealized gain (loss) on open futures contracts  
   D. Value of long option contracts  
   E. Value of short option contracts  

<table>
<thead>
<tr>
<th>Line</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>1,000,000 [7780]</td>
</tr>
<tr>
<td>10</td>
<td>1,780,037 [7785]</td>
</tr>
</tbody>
</table>
INITIAL
End Date: 4/16/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/17/2020

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,047,469,865 [7010]
   B. Securities (at market) 136,616,758 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 177,797,871 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 5,283,019,937 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -5,279,170,912 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,365,733,519 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,570,367 [7045]
   Less: amount offset by customer securities -535,748 [7047] 1,034,619 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,366,768,138 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 252,044,280 [7070]
   B. Securities representing investments of customers' funds (at market) 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 773,708 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 632,897,243 [7100]
   B. Securities representing investments of customers' funds (at market) 329,964,393 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 132,724,751 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 3,247,904 [7130]

10. Exchange traded options
   A. Value of open long option contracts 5,283,019,937 [7132]
   B. Value of open short option contracts -5,279,170,912 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,118,299 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,388,619,603 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 21,851,465 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 2,851,465 [7198]
SECTION OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]