INITIAL
End Date: 4/17/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/20/2020
Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 15,076,727 [7315]
   B. Securities (at market) 0 [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -2,523,275 [7325]
3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.) 12,553,452 [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount 17,208 [7351]
   Less: amount offset by customer owned securities 0 [7352] 17,208 [7354]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 12,570,660 [7355]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 12,570,660 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 5,078,241 [7500]
   B. Other banks qualified under Regulation 30.7 2,004,404 [7520] 7,082,645 [7530]
2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]
3. Equities with registered futures commission merchants
   A. Cash 3,342,890 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,342,890 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,563,771 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,563,771 [7680]
5. Amounts held by members of foreign boards of trade
   A. Cash 416,153 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 416,153 [7740]
6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 15,405,459 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,834,799 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,834,799 [7785]
**SEGREGATION REQUIREMENTS**

1. **Net ledger balance**
   - **A. Cash**
     - 1,557,734,398
   - **B. Securities (at market)**
     - 131,666,297

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 175,665,053

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 4,616,837,459
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -5,103,305,918

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,378,597,289

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,585,261
   - **Less: amount offset by customer securities**
     - -553,470

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,379,629,080

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - 190,102,277
   - **B. Securities representing investments of customers' funds (at market)**
     - 0
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 773,708

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 1,219,469,395
   - **B. Securities representing investments of customers' funds (at market)**
     - 329,969,572
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 127,774,290

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 17,623,489

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 4,616,837,459
    - **B. Value of open short option contracts**
      - -5,103,305,918

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0
    - **B. Securities representing investments of customers' funds (at market)**
      - 0
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0

12. **Segregated funds on hand**
    - 3,118,299

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,402,362,571

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 22,733,491

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 3,733,491
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash**
     - 0 [8500]
   - **B. Securities (at market)**
     - 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   - 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**
     - 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**
     - 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   - 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 0 [8560]
   - Less: amount offset by customer owned securities
     - 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**
     - 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**
     - 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**
     - 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**
     - 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**
     - 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**
     - 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   - 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**
      - 0 [8670]
    - **B. Value of open cleared swaps short option contracts**
      - 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
      - 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**
      - 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash**
      - 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
      - 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - 0 [8715]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - 0 [8720]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - 0 [8770]