INITIAL
End Date: 4/23/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/24/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $ 19,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $ 1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $ 0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**

**End Date:** 4/23/2020  
**Firm Name:** WEDBUSH SECURITIES INC  
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**Daily Segregation - Secured Amounts**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foreign Futures and Foreign Options Secured Amounts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a</td>
<td>0 [7305]</td>
<td></td>
</tr>
<tr>
<td>government or a rule of a self-regulatory organization authorized thereunder</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All</td>
<td>14,258,125 [7315]</td>
<td></td>
</tr>
<tr>
<td>Customers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
<td></td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a foreign</td>
<td>-1,097,980 [7325]</td>
<td></td>
</tr>
<tr>
<td>board of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of</td>
<td>0 [7335]</td>
<td></td>
</tr>
<tr>
<td>trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0 [7337]</td>
<td></td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>13,160,145 [7345]</td>
<td></td>
</tr>
<tr>
<td>5. Account liquidating to a deficit and account with a debit balances -</td>
<td>17,458   [7351]</td>
<td></td>
</tr>
<tr>
<td>gross amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352]</td>
<td>17,458 [7354]</td>
</tr>
<tr>
<td>6. Amount required to be set aside as the secured amount - Net Liquidating</td>
<td>13,177,603 [7355]</td>
<td></td>
</tr>
<tr>
<td>Equity Method (add lines 4 and 5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6</td>
<td>13,177,603 [7360]</td>
<td></td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Cash in banks</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Banks located in the United States</td>
<td>5,732,823 [7500]</td>
<td></td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>2,213,074 [7520]</td>
<td>7,945,897 [7530]</td>
</tr>
<tr>
<td>2. Securities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>0 [7540]</td>
<td></td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0 [7560]</td>
<td>0 [7570]</td>
</tr>
<tr>
<td>3. Equities with registered futures commission merchants</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>2,881,602 [7580]</td>
<td></td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7590]</td>
<td></td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7600]</td>
<td></td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7610]</td>
<td></td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7615]</td>
<td>2,881,602 [7620]</td>
</tr>
<tr>
<td>4. Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>3,921,387 [7640]</td>
<td></td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7650]</td>
<td></td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0 [7660]</td>
<td></td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7670]</td>
<td></td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7675]</td>
<td>3,921,387 [7680]</td>
</tr>
<tr>
<td>5. Amounts held by members of foreign boards of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>1,468,324 [7700]</td>
<td></td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7710]</td>
<td></td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7720]</td>
<td></td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7730]</td>
<td></td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7735]</td>
<td>1,468,324 [7740]</td>
</tr>
<tr>
<td>6. Amounts with other depositories designated by a foreign board of trade</td>
<td>0 [7760]</td>
<td></td>
</tr>
<tr>
<td>7. Segregated funds on hand</td>
<td>0 [7765]</td>
<td></td>
</tr>
<tr>
<td>8. Total funds in separate section 30.7 accounts</td>
<td>16,217,210 [7770]</td>
<td></td>
</tr>
<tr>
<td>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured</td>
<td>3,039,607 [7780]</td>
<td></td>
</tr>
<tr>
<td>Statement Page 1 from Line 8)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. Management Target Amount for Excess funds in separate section 30.7</td>
<td>1,000,000 [7780]</td>
<td></td>
</tr>
<tr>
<td>accounts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Excess (deficiency) funds in separate 30.7 accounts over (under)</td>
<td>2,039,607 [7785]</td>
<td></td>
</tr>
<tr>
<td>Management Target</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
INITIAL
End Date: 4/23/2020
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: **1,478,588,035** [7010]
   - B. Securities (at market): **140,053,476** [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **280,634,908** [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market
     - **5,061,047,892** [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - **-5,534,385,718** [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **1,425,938,593** [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **1,662,283** [7045]
   - Less: amount offset by customer securities
     - **-554,804** [7047] **1,107,479** [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **1,427,046,072** [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash: **284,276,153** [7070]
   - B. Securities representing investments of customers’ funds (at market): **0** [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **748,033** [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: **1,259,731,470** [7100]
   - B. Securities representing investments of customers’ funds (at market): **269,976,066** [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **136,294,939** [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **-27,475,218** [7130]

10. Exchange traded options
    - A. Value of open long option contracts
        - **5,061,047,892** [7132]
    - B. Value of open short option contracts
        - **-5,534,385,718** [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity
        - **0** [7140]
    - B. Securities representing investments of customers’ funds (at market)
        - **0** [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
        - **0** [7170]

12. Segregated funds on hand
    - **3,010,504** [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **1,455,224,121** [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **26,178,049** [7190]

15. Management Target Amount for Excess funds in segregation
    - **19,000,000** [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **7,178,049** [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

Funds in Cleared Swaps Customer Segregated Accounts

7. **Amount deposited in cleared swaps customer segregated accounts at banks**
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts 0 [8660]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**