INITIAL
End Date: 4/28/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/29/2020
**Daily Segregation - Cover Page**

<table>
<thead>
<tr>
<th>Name of Company</th>
<th>WEDBUSH SECURITIES INC</th>
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<tbody>
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<td>Contact Name</td>
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</tr>
</tbody>
</table>

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- Minimum dollar amount: $; or **19,000,000**
- Minimum percentage of customer segregated funds required: %; or **0**
- Dollar amount range between: and; or **0 0**
- Percentage range of customer segregated funds required between: % and %. **0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- Minimum dollar amount: $; or **1,000,000**
- Minimum percentage of customer secured funds required: %; or **0**
- Dollar amount range between: and; or **0 0**
- Percentage range of customer secured funds required between: % and %. **0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- Minimum dollar amount: $; or **0**
- Minimum percentage of cleared swaps customer collateral required: %; or **0**
- Dollar amount range between: and; or **0 0**
- Percentage range of cleared swaps customer collateral required between: % and %. **0 0**

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   A. Cash  
   **13,967,463 [7315]**  
   B. Securities (at market)  
   **0 [7317]**

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   **-967,011 [7325]**

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade  
   **0 [7335]**  
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   **0 [7337]**

4. **Net equity (deficit) (add lines 1, 2, and 3.)**  
   **13,000,452 [7345]**

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   **14,351 [7351]**  
   Less: amount offset by customer owned securities  
   **0 [7352] 14,351 [7354]**

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   **13,014,803 [7355]**

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   **13,014,803 [7360]**

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   A. Banks located in the United States  
   **4,756,810 [7500]**  
   B. Other banks qualified under Regulation 30.7  
   **2,215,017 [7520] 6,971,827 [7530]**

2. **Securities**  
   A. In safekeeping with banks located in the United States  
   **0 [7540]**  
   B. In safekeeping with other banks qualified under Regulation 30.7  
   **0 [7560] 0 [7570]**

3. **Equities with registered futures commission merchants**  
   A. Cash  
   **3,985,933 [7580]**  
   B. Securities  
   **0 [7590]**  
   C. Unrealized gain (loss) on open futures contracts  
   **0 [7600]**  
   D. Value of long option contracts  
   **0 [7610]**  
   E. Value of short option contracts  
   **0 [7615] 3,985,933 [7620]**

4. **Amounts held by clearing organizations of foreign boards of trade**  
   A. Cash  
   **3,321,187 [7640]**  
   B. Securities  
   **0 [7650]**  
   C. Amount due to (from) clearing organization - daily variation  
   **0 [7660]**  
   D. Value of long option contracts  
   **0 [7670]**  
   E. Value of short option contracts  
   **0 [7675] 3,321,187 [7680]**

5. **Amounts held by members of foreign boards of trade**  
   A. Cash  
   **1,932,790 [7700]**  
   B. Securities  
   **0 [7710]**  
   C. Unrealized gain (loss) on open futures contracts  
   **0 [7720]**  
   D. Value of long option contracts  
   **0 [7730]**  
   E. Value of short option contracts  
   **0 [7735] 1,932,790 [7740]**

6. **Amounts with other depositories designated by a foreign board of trade**  
   **0 [7760]**

7. **Segregated funds on hand**  
   **0 [7765]**

8. **Total funds in separate section 30.7 accounts**  
   **16,211,737 [7770]**

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   **3,196,934 [7780]**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    **1,000,000 [7780]**

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    **2,196,934 [7785]**
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 1,547,392,603 [7010]
   - B. Securities (at market): 142,150,750 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 349,532,326 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 5,938,607,067 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -6,541,324,149 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,436,358,597 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,248,313 [7045]
   - Less: amount offset by customer securities: -207,679
   - Amount required to be segregated (add lines 4 and 5): 1,437,399,231 [7060]

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 246,088,678 [7070]
   - B. Securities representing investments of customers’ funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 791,933 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 1,351,796,566 [7100]
   - B. Securities representing investments of customers’ funds (at market): 319,974,298 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 138,279,202 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 7,623,605 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 5,938,607,067 [7132]
    - B. Value of open short option contracts: -6,541,324,149 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 3,079,615 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,464,916,815 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 27,517,584 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 8,517,584 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]