INITIAL
End Date: 5/13/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/14/2020
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer segregated funds required between: % and%.
   
   
FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: $17,070,545 [7315]
   - B. Securities (at market): $0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: $-1,403,688 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: $0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: $0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3): $15,666,859 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: $14,102 [7351]
   - Less: amount offset by customer owned securities: $0 [7352] $14,102 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): $15,680,959 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: $15,680,959 [7360]

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**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: $6,865,105 [7500]
   - B. Other banks qualified under Regulation 30.7: $1,266,402 [7520] $8,131,507 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: $0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: $0 [7560] $0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: $3,764,621 [7580]
   - B. Securities: $0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7600]
   - D. Value of long option contracts: $0 [7610]
   - E. Value of short option contracts: $0 [7615] $3,764,621 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: $5,539,557 [7640]
   - B. Securities: $0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: $0 [7660]
   - D. Value of long option contracts: $0 [7670]
   - E. Value of short option contracts: $0 [7675] $5,539,557 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: $1,238,550 [7700]
   - B. Securities: $0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: $0 [7720]
   - D. Value of long option contracts: $0 [7730]
   - E. Value of short option contracts: $0 [7735] $1,238,550 [7740]

6. Amounts with other depositories designated by a foreign board of trade: $0 [7760]

7. Segregated funds on hand: $0 [7765]

8. Total funds in separate section 30.7 accounts: $18,674,235 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): $2,993,276 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: $1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: $1,993,276 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEA)

1. **Net ledger balance**
   - **A. Cash** 1,178,720,621 [7010]
   - **B. Securities (at market)** 86,115,456 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 329,426,089 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 5,016,299,772 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -5,301,804,506 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,308,757,432 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 332,558 [7045]

6. **Amount required to be segregated (add lines 4 and 5)** 1,308,915,564 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposits in segregated funds bank accounts**
   - **A. Cash** 401,394,092 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 804,473 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 703,550,679 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 449,940,110 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 82,202,219 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** -23,432,045 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 5,016,299,772 [7132]
    - **B. Value of open short option contracts** -5,301,804,506 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 3,108,764 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,332,063,558 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 23,147,994 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 4,147,994 [7198]
Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]