INITIAL
End Date: 5/19/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/20/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**INITIAL**

**End Date:** 5/19/2020  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 16,831,459 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -2,218,304 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 14,613,155 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 14,572 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 14,627,727 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 14,627,727 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 7,533,820 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,191,883 [7520] 8,725,703 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 2,060,829 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 2,060,829 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,076,307 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,076,307 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 355,902 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 355,902 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 17,218,741 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,591,014 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,591,014 [7785]
**Daily Segregation - Segregation Statement**

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 1,103,498,593 [7010]
   - B. Securities (at market): 86,343,894 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 369,483,848 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 4,494,870,102 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -4,730,665,237 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,323,531,200 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 393,149 [7045]

6. Amount required to be segregated (add lines 4 and 5): 1,323,665,248 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash: 401,398,625 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 785,631 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 600,875,364 [7100]
   - B. Securities representing investments of customers' funds (at market): 499,921,574 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 82,202,219 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: -7,546,996 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 4,494,870,102 [7132]
    - B. Value of open short option contracts: -4,730,665,237 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 3,356,044 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,345,197,326 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 21,532,078 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: 2,532,078 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [8500]
   B. Securities (at market) [8510]

2. Net unrealized profit (loss) in open cleared swaps [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530]
   B. Market value of open cleared swaps option contracts granted (sold) [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560]
   Less: amount offset by customer owned securities [8570] [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]

9. Net settlement from (to) derivatives clearing organizations [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [8670]
    B. Value of open cleared swaps short option contracts [8680]

11. Net equities with other FCMs
    A. Net liquidating equity [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]

12. Cleared swaps customer funds on hand
    A. Cash [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8720]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8730]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8740]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8750]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8770]