INITIAL
End Date: 5/20/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/21/2020
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash  16,267,455 [7315]
   B. Securities (at market)  0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  -2,266,186 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade  0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade  0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  14,001,269 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  23,039 [7351]
   Less: amount offset by customer owned securities  0 [7352]  23,039 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)  14,001,269 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  14,001,269 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States  6,976,750 [7500]
   B. Other banks qualified under Regulation 30.7  1,170,911 [7520]  8,147,661 [7530]

2. Securities
   A. In safekeeping with banks located in the United States  0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7  0 [7560]  0 [7570]

3. Equities with registered futures commission merchants
   A. Cash  2,100,496 [7580]
   B. Securities  0 [7590]
   C. Unrealized gain (loss) on open futures contracts  0 [7600]
   D. Value of long option contracts  0 [7610]
   E. Value of short option contracts  0 [7615]  2,100,496 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash  6,110,350 [7640]
   B. Securities  0 [7650]
   C. Amount due to (from) clearing organization - daily variation  0 [7660]
   D. Value of long option contracts  0 [7670]
   E. Value of short option contracts  0 [7675]  6,110,350 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash  294,763 [7700]
   B. Securities  0 [7710]
   C. Unrealized gain (loss) on open futures contracts  0 [7720]
   D. Value of long option contracts  0 [7730]
   E. Value of short option contracts  0 [7735]  294,763 [7740]

6. Amounts with other depositories designated by a foreign board of trade  0 [7760]

7. Segregated funds on hand  0 [7765]

8. Total funds in separate section 30.7 accounts  16,653,270 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  2,628,962 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  1,628,962 [7785]
**INITIAL**

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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 1,122,729,202
   - B. Securities (at market): 87,049,791

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 311,880,231

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 4,861,088,033
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -5,102,691,736

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,280,055,521

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 425,584
   - Less: amount offset by customer securities: -279,423
   - 146,161

6. **Amount required to be segregated (add lines 4 and 5)**: 1,280,201,682

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 341,495,835
   - B. Securities representing investments of customers' funds (at market): 0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 789,082

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 612,062,894
   - B. Securities representing investments of customers' funds (at market): 499,927,312
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 82,827,491

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 2,609,269

10. **Exchange traded options**
    - A. Value of open long option contracts: 4,861,088,033
    - B. Value of open short option contracts: -5,102,691,736

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers' funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. **Segregated funds on hand**: 3,433,218

13. **Total amount in segregation (add lines 7 through 12)**: 1,301,541,398

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 21,339,716

15. **Management Target Amount for Excess funds in segregation**: 19,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 2,339,716
### Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]