INITIAL
End Date: 5/21/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/22/2020
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
1. Minimum dollar amount: $19,000,000; or
2. Minimum percentage of customer segregated funds required: 0%; or
3. Dollar amount range between: $0 and $0; or
4. Percentage range of customer segregated funds required between: 0% and 0%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
1. Minimum dollar amount: $1,000,000; or
2. Minimum percentage of customer secured funds required: 0%; or
3. Dollar amount range between: $0 and $0; or
4. Percentage range of customer secured funds required between: 0% and 0%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
1. Minimum dollar amount: $0; or
2. Minimum percentage of cleared swaps customer collateral required: 0%; or
3. Dollar amount range between: $0 and $0; or
4. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
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**Daily Segregation - Secured Amounts**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foreign Futures and Foreign Options Secured Amounts</td>
<td></td>
</tr>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a</td>
<td>0 [7305]</td>
</tr>
<tr>
<td>government or a rule of a self-regulatory organization authorized thereunder</td>
<td></td>
</tr>
<tr>
<td>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All</td>
<td></td>
</tr>
<tr>
<td>Customers</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>12,255,889 [7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a foreign</td>
<td></td>
</tr>
<tr>
<td>board of trade</td>
<td>1,930,028 [7325]</td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of</td>
<td>0 [7335]</td>
</tr>
<tr>
<td>trade</td>
<td></td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0 [7337]</td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1, 2, and 3)</td>
<td>14,185,917 [7345]</td>
</tr>
<tr>
<td>5. Account liquidating to a deficit and account with a debit balances -</td>
<td>16,570 [7351]</td>
</tr>
<tr>
<td>gross amount</td>
<td></td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352]</td>
</tr>
<tr>
<td>6. Amount required to be set aside as the secured amount - Net Liquidating</td>
<td>14,202,487 [7355]</td>
</tr>
<tr>
<td>Equity Method (add lines 4 and 5)</td>
<td></td>
</tr>
<tr>
<td>7. Greater of amount required to be set aside pursuant to foreign jurisdiction</td>
<td></td>
</tr>
<tr>
<td>(above) or line 6.</td>
<td>14,202,487 [7360]</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States                                      | 6,816,555 [7500] |
   B. Other banks qualified under Regulation 30.7                            | 1,172,370 [7520] |
                                              | **7,988,925 [7530]** |
2. Securities
   A. In safekeeping with banks located in the United States                 | 0 [7540]   |
   B. In safekeeping with other banks qualified under Regulation 30.7       | 0 [7560]   |
                                              | **0 [7570]**   |
3. Equities with registered futures commission merchants                      |            |
   A. Cash                                                                     | 2,247,252 [7580] |
   B. Securities                                                               | 0 [7590]   |
   C. Unrealized gain (loss) on open futures contracts                        | 0 [7600]   |
   D. Value of long option contracts                                          | 0 [7610]   |
   E. Value of short option contracts                                         | 0 [7615]   |
                                              | **2,247,252 [7620]** |
4. Amounts held by clearing organizations of foreign boards of trade         |            |
   A. Cash                                                                     | 5,866,745 [7640] |
   B. Securities                                                               | 0 [7650]   |
   C. Amount due to (from) clearing organization - daily variation           | 0 [7660]   |
   D. Value of long option contracts                                          | 0 [7670]   |
   E. Value of short option contracts                                         | 0 [7675]   |
                                              | **5,866,745 [7680]** |
5. Amounts held by members of foreign boards of trade                         |            |
   A. Cash                                                                     | 875,255 [7700] |
   B. Securities                                                               | 0 [7710]   |
   C. Unrealized gain (loss) on open futures contracts                        | 0 [7720]   |
   D. Value of long option contracts                                          | 0 [7730]   |
   E. Value of short option contracts                                         | 0 [7735]   |
                                              | **875,255 [7740]** |
6. Amounts with other depositories designated by a foreign board of trade    | 0 [7760]   |
7. Segregated funds on hand                                                   | 0 [7765]   |
8. Total funds in separate section 30.7 accounts                              | 16,978,177 [7770] |
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured|            |
    Statement Page 1 from Line 8)                                             | 2,775,690 [7380] |
10. Management Target Amount for Excess funds in separate section 30.7 accounts| 1,000,000 [7780] |
11. Excess (deficiency) funds in separate 30.7 accounts over (under)         |            |
    Management Target                                                         | 1,775,690 [7785] |
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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: 1,121,300,404 [7010]
   - **B. Securities (at market)**: 82,834,831 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 365,798,742 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 4,750,075,064 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -4,975,215,527 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,344,793,514 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 413,444 [7045]
   - **Less: amount offset by customer securities**: -258,820 [7047] 154,624 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,344,948,138 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: 393,236,946 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 800,682 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 619,352,593 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: 499,914,544 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 78,583,840 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -3,175,540 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 4,750,075,064 [7132]
    - **B. Value of open short option contracts**: -4,975,215,527 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 3,450,309 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,367,022,911 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 22,074,773 [7190]

15. **Management Target Amount for Excess funds in segregation**: 3,074,773 [7198]

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16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 3,074,773 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash [8500]
   B. Securities (at market) [8510]

2. Net unrealized profit (loss) in open cleared swaps [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530]
   B. Market value of open cleared swaps option contracts granted (sold) [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560]
   Less: amount offset by customer owned securities [8570] [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590]
   Funds in Cleared Swaps Customer Segregated Accounts
   A. Cash [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8660]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8670]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8680]

9. Net settlement from (to) derivatives clearing organizations [8690]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [8700]
    B. Value of open cleared swaps short option contracts [8710]

11. Net equities with other FCMs
    A. Net liquidating equity [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]

12. Cleared swaps customer funds on hand
    A. Cash [8720]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8770]