INITIAL
End Date: 5/27/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/28/2020
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash
     - 0 [7305]
   - B. Securities (at market)
     - 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - 1,879,888 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade
     - 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade
     - 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 13,044,638

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 23,793

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 13,068,431

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 13,068,431 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States
     - 5,535,083 [7500]
   - B. Other banks qualified under Regulation 30.7
     - 1,676,314 [7520] 7,211,397 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States
     - 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7
     - 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash
     - 2,274,585 [7580]
   - B. Securities
     - 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts
     - 0 [7600]
   - D. Value of long option contracts
     - 0 [7610]
   - E. Value of short option contracts
     - 0 [7615] 2,274,585 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash
     - 5,484,695 [7640]
   - B. Securities
     - 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation
     - 0 [7660]
   - D. Value of long option contracts
     - 0 [7670]
   - E. Value of short option contracts
     - 0 [7675] 5,484,695 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash
     - 274,248 [7700]
   - B. Securities
     - 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts
     - 0 [7720]
   - D. Value of long option contracts
     - 0 [7730]
   - E. Value of short option contracts
     - 0 [7735] 274,248 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 15,244,925 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 2,176,494 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 1,176,494 [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 1,027,278,316 [7010]
   - B. Securities (at market): 80,229,018 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 398,397,163 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 4,768,753,927 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -4,961,455,945 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,313,202,479 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 464,172 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,313,352,347 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 361,573,708 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 807,853 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 596,089,476 [7100]
   - B. Securities representing investments of customers' funds (at market): 499,906,310 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 76,057,682 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -7,052,184 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 4,768,753,927 [7132]
    - B. Value of open short option contracts: -4,961,455,945 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 3,363,483 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,338,044,310 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 24,691,963 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 5,691,963 [7198]
**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td>0 [8500]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8510]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [8520]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8530]</td>
</tr>
<tr>
<td>3. Cleared swaps options</td>
<td>0 [8540]</td>
</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8550]</td>
</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0 [8560]</td>
</tr>
<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
<td>0 [8570]</td>
</tr>
<tr>
<td>5. Accounts liquidating to a deficit and accounts with</td>
<td>0 [8580]</td>
</tr>
<tr>
<td>debit balances - gross amount</td>
<td>0 [8590]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [8600]</td>
</tr>
<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
<td>0 [8610]</td>
</tr>
<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
<td>0 [8620]</td>
</tr>
<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
<td>0 [8630]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8640]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8650]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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</tr>
<tr>
<td>A. Cash</td>
<td>0 [8680]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8690]</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8700]</td>
</tr>
<tr>
<td>9. Net settlement from (to) derivatives clearing organizations</td>
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</tr>
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<td>10. Cleared swaps options</td>
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</tr>
<tr>
<td>A. Value of open cleared swaps long option contracts</td>
<td>0 [8730]</td>
</tr>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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</tr>
<tr>
<td>12. Cleared swaps customer funds on hand</td>
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<tr>
<td>A. Cash</td>
<td>0 [8800]</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8820]</td>
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<tr>
<td>13. Total amount in cleared swaps customer segregation</td>
<td>0 [8830]</td>
</tr>
<tr>
<td>(add lines 7 through 12)</td>
<td>0 [8840]</td>
</tr>
<tr>
<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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</tr>
<tr>
<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
<td>0 [8860]</td>
</tr>
<tr>
<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
<td>0 [8870]</td>
</tr>
</tbody>
</table>