INITIAL

End Date: 6/4/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/5/2020

Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

19,000,000
0
0 0
0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

1,000,000
0
0 0
0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

0
0
0 0
0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 17,469,921 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -1,605,113 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 15,864,808 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 15,298 [7351]
   Less: amount offset by customer owned securities 0 [7352] 15,298 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 15,880,106 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 15,880,106 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 7,331,234 [7500]
   B. Other banks qualified under Regulation 30.7 1,952,109 [7520] 9,283,343 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 2,528,485 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 2,528,485 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,164,032 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,164,032 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 414,836 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 414,836 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 18,390,696 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,510,590 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,510,590 [7785]
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,217,940,280 [7010]
   B. Securities (at market) 107,434,554 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 226,036,679 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 4,300,272,785 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -4,479,279,399 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,372,404,899 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 688,854 [7045]
   Less: amount offset by customer securities -329,380 [7047] 359,474 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,372,764,373 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 350,090,307 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 803,581 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 457,633,807 [7100]
   B. Securities representing investments of customers' funds (at market) 674,866,301 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 103,092,469 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -9,707,861 [7130]

10. Exchange traded options
    A. Value of open long option contracts 4,300,272,785 [7132]
    B. Value of open short option contracts -4,479,279,399 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,538,504 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,491,310,494 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 28,546,121 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 9,546,121 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
### FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - Amount required to be segregated for cleared swaps customer segregated accounts at banks:
     - A. Cash: 0 [8600]
     - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
     - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

7. **Funds in Cleared Swaps Customer Segregated Accounts**
   - Deposited in cleared swaps customer segregated accounts at banks:
     - A. Cash: 0 [8630]
     - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
     - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8660]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8670]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8680]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8690]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8700]
    - B. Value of open cleared swaps short option contracts: 0 [8710]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8720]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8730]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8740]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0 [8750]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8760]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8770]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8780]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8790]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8800]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8810]