INITIAL
End Date: 6/8/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/9/2020
**FCM's Customer Segregated Funds Residual Interest Target (choose one):**

<table>
<thead>
<tr>
<th>Option</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum dollar amount</td>
<td>19,000,000</td>
</tr>
<tr>
<td>Minimum percentage of customer segregated funds required</td>
<td>0</td>
</tr>
<tr>
<td>Dollar amount range between:</td>
<td>0 0</td>
</tr>
<tr>
<td>Percentage range of customer segregated funds required between:</td>
<td>0 0</td>
</tr>
</tbody>
</table>

**FCM's Customer Secured Amount Funds Residual Interest Target (choose one):**

<table>
<thead>
<tr>
<th>Option</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum dollar amount</td>
<td>1,000,000</td>
</tr>
<tr>
<td>Minimum percentage of customer secured funds required</td>
<td>0</td>
</tr>
<tr>
<td>Dollar amount range between:</td>
<td>0 0</td>
</tr>
<tr>
<td>Percentage range of customer secured funds required between:</td>
<td>0 0</td>
</tr>
</tbody>
</table>

**FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):**

<table>
<thead>
<tr>
<th>Option</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minimum dollar amount</td>
<td>0</td>
</tr>
<tr>
<td>Minimum percentage of cleared swaps customer collateral required</td>
<td>0</td>
</tr>
<tr>
<td>Dollar amount range between:</td>
<td>0 0</td>
</tr>
<tr>
<td>Percentage range of cleared swaps customer collateral required between:</td>
<td>0 0</td>
</tr>
</tbody>
</table>

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Value required to be set aside pursuant to law, rule or regulation of a foreign government or a self-regulatory organization authorized thereunder: 0 (7305)

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 18,400,694 (7315)
   - **B. Securities (at market)** 0 (7317)

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** -2,280,705 (7325)

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 0 (7335)
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 (7337)

4. **Net equity (deficit) (add lines 1, 2, and 3.)** 16,119,989 (7345)

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 15,369 (7351)

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method** (add lines 4 and 5) 16,135,358 (7355)

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 16,135,358 (7360)

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

8. **Cash in banks**
   - A. Banks located in the United States 7,225,719 (7500)
   - B. Other banks qualified under Regulation 30.7 2,770,347 (7520) 9,996,066 (7530)

9. **Securities**
   - A. In safekeeping with banks located in the United States 0 (7540)
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 (7560) 0 (7570)

10. **Equities with registered futures commission merchants**
    - A. Cash 2,494,819 (7580)
    - B. Securities 0 (7590)
    - C. Unrealized gain (loss) on open futures contracts 0 (7600)
    - D. Value of long option contracts 0 (7610)
    - E. Value of short option contracts 0 (7615) 2,494,819 (7620)

11. **Amounts held by clearing organizations of foreign boards of trade**
    - A. Cash 5,268,985 (7640)
    - B. Securities 0 (7650)
    - C. Amount due to (from) clearing organization - daily variation 0 (7660)
    - D. Value of long option contracts 0 (7670)
    - E. Value of short option contracts 0 (7675) 5,268,985 (7680)

12. **Amounts held by members of foreign boards of trade**
    - A. Cash 985,546 (7700)
    - B. Securities 0 (7710)
    - C. Unrealized gain (loss) on open futures contracts 0 (7720)
    - D. Value of long option contracts 0 (7730)
    - E. Value of short option contracts 0 (7735) 985,546 (7740)

13. **Amounts with other depositories designated by a foreign board of trade** 0 (7760)

14. **Segregated funds on hand** 0 (7765)

15. **Total funds in separate section 30.7 accounts** 18,745,416 (7770)

16. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 2,610,058 (7780)

17. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 (7780)

18. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 1,610,058 (7785)
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,158,639,673 [7010]
   B. Securities (at market) 107,356,810 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 214,350,008 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 4,295,348,093 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -4,441,492,856 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,334,201,728 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 467,545 [7045]
   Less: amount offset by customer securities -286,169 [7047] 181,376 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,334,383,104 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 322,265,585 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 852,588 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 410,844,178 [7100]
   B. Securities representing investments of customers' funds (at market) 674,860,273 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 103,092,469 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -4,180,054 [7130]

10. Exchange traded options
    A. Value of open long option contracts 4,295,348,093 [7132]
    B. Value of open short option contracts -4,441,492,856 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,411,753 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,365,002,029 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 36,618,925 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 11,618,925 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]