INITIAL
End Date: 6/9/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/10/2020
INITIAL
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Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and %.

19,000,000
0
0 0
0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and %.

1,000,000
0
0 0
0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and %.

0
0
0 0
0 0

Attach supporting documents
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
A. Cash 18,752,510 [7315]
B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

-2,363,253 [7325]

3. Exchange traded options
a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)

16,389,257 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount

15,348 [7351]

Less: amount offset by customer owned securities

0 [7352] 15,348 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
Method (add lines 4 and 5)

16,404,605 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line

16,404,605 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
A. Banks located in the United States 7,247,098 [7500]
B. Other banks qualified under Regulation 30.7 2,762,188 [7520] 10,009,286 [7530]

2. Securities
A. In safekeeping with banks located in the United States 0 [7540]
B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
A. Cash 2,607,734 [7580]
B. Securities 0 [7590]
C. Unrealized gain (loss) on open futures contracts 0 [7600]
D. Value of long option contracts 0 [7610]
E. Value of short option contracts 0 [7615] 2,607,734 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
A. Cash 5,423,763 [7640]
B. Securities 0 [7650]
C. Amount due to (from) clearing organization - daily variation 0 [7660]
D. Value of long option contracts 0 [7670]
E. Value of short option contracts 0 [7675] 5,423,763 [7680]

5. Amounts held by members of foreign boards of trade
A. Cash 1,020,765 [7700]
B. Securities 0 [7710]
C. Unrealized gain (loss) on open futures contracts 0 [7720]
D. Value of long option contracts 0 [7730]
E. Value of short option contracts 0 [7735] 1,020,765 [7740]

6. Amounts with other depositories designated by a foreign board of trade

0 [7760]

7. Segregated funds on hand

0 [7765]

8. Total funds in separate section 30.7 accounts

19,061,548 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
Page 1 from Line 8)

2,656,943 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts

1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

1,656,943 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - Cash: \(1,160,062,329\) [7010]
   - Securities (at market): \(107,421,342\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \(201,388,725\) [7030]

3. **Exchange traded options**
   - Add market value of open option contracts purchased on a contract market: \(4,474,266,121\) [7032]
   - Deduct market value of open option contracts granted (sold) on a contract market: \(-4,605,302,295\) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \(1,337,836,222\) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: \(-283,599\) [7047]
   - \(152,924\) [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - \(1,337,989,146\) [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - Cash: \(370,927,051\) [7070]
   - Securities representing investments of customers’ funds (at market): \(0\) [7080]
   - Securities held for particular customers or option customers in lieu of cash (at market): \(851,402\) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - Cash: \(323,181,940\) [7100]
   - Securities representing investments of customers’ funds (at market): \(674,845,799\) [7110]
   - Securities held for particular customers or option customers in lieu of cash (at market): \(103,092,469\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \(16,622,128\) [7130]

10. **Exchange traded options**
    - Value of open long option contracts: \(4,474,266,121\) [7132]
    - Value of open short option contracts: \(-4,605,302,295\) [7133]

11. **Net equities with other FCMs**
    - Net liquidating equity: \(0\) [7140]
    - Securities representing investments of customers’ funds (at market): \(0\) [7160]
    - Securities held for particular customers or option customers in lieu of cash (at market): \(0\) [7170]

12. **Segregated funds on hand**
    - \(3,477,471\) [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - \(1,361,962,086\) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \(23,972,940\) [7190]

15. **Management Target Amount for Excess funds in segregation**
    - \(19,000,000\) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: \(4,972,940\) [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]