INITIAL
End Date: 6/18/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/19/2020
Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \(0\) [7305]

#### Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>15,036,471</td>
<td>[7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
<td>[7317]</td>
</tr>
</tbody>
</table>

#### Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

- Value required to be set aside: \(328,269\) [7325]

#### Exchange traded options

- a. Market value of open option contracts purchased on a foreign board of trade: \(0\) [7335]
- b. Market value of open contracts granted (sold) on a foreign board of trade: \(0\) [7337]

#### Net equity (deficit) (add lines 1. 2. and 3.)

- Value required to be set aside: \(15,364,740\) [7345]

#### Account liquidating to a deficit and account with a debit balances - gross amount

- Less offset by customer owned securities: \(0\) [7352] \(32,795\) [7354]

#### Amount required to be set aside as the secured amount - Net Liquidating Equity

- Method (add lines 4 and 5): \(15,397,535\) [7355]

#### Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

- Value required to be set aside: \(15,397,535\) [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: \(6,955,727\) [7500]
   - B. Other banks qualified under Regulation 30.7: \(2,923,352\) [7520] \(9,879,079\) [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: \(0\) [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \(0\) [7560] \(0\) [7570]

3. Equities with registered futures commission merchants
   - A. Cash: \(2,369,954\) [7580]
   - B. Securities: \(0\) [7590]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7600]
   - D. Value of long option contracts: \(0\) [7610]
   - E. Value of short option contracts: \(0\) [7615] \(2,369,954\) [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: \(5,352,901\) [7640]
   - B. Securities: \(0\) [7650]
   - C. Amount due to (from) clearing organization - daily variation: \(0\) [7660]
   - D. Value of long option contracts: \(0\) [7670]
   - E. Value of short option contracts: \(0\) [7675] \(5,352,901\) [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: \(534,573\) [7700]
   - B. Securities: \(0\) [7710]
   - C. Unrealized gain (loss) on open futures contracts: \(0\) [7720]
   - D. Value of long option contracts: \(0\) [7730]
   - E. Value of short option contracts: \(0\) [7735] \(534,573\) [7740]

6. Amounts with other depositories designated by a foreign board of trade: \(0\) [7760]

7. Segregated funds on hand: \(0\) [7765]

8. Total funds in separate section 30.7 accounts: \(18,136,507\) [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

- Value required to be set aside: \(2,738,972\) [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - Value required to be set aside: \(1,000,000\) [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - Value required to be set aside: \(1,738,972\) [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - 826,047,265 [7010]
   - **B. Securities (at market)**
     - 181,621,207 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 23,280,505 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 3,935,576,386 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -3,669,369,075 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,297,156,288 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 360,108 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,297,288,157 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - 162,159,677 [7070]
   - **B. Securities representing investments of customers' funds (at market)**
     - 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 793,052 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 99,837,028 [7100]
   - **B. Securities representing investments of customers' funds (at market)**
     - 604,867,041 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 177,361,638 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 6,002,137 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 3,935,576,386 [7132]
    - **B. Value of open short option contracts**
      - -3,669,369,075 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 3,466,517 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,320,694,401 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 23,406,244 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 4,406,244 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
   - C. Market value of cleared swaps options

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

7. ** Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**