Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $19,000,000;
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000;
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0;
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**  
**End Date:** 6/19/2020  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 6/22/2020  

### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   - **A. Cash**  
   - **B. Securities** (at market)  
   0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   733,333 [7325]

3. **Exchange traded options**  
   - a. Market value of open option contracts purchased on a foreign board of trade  
   - b. Market value of open contracts granted (sold) on a foreign board of trade  
   0 [7337]

4. **Net equity (deficit)** (add lines 1. 2. and 3.)  
   15,074,604 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   34,708 [7351]  
   Less: amount offset by customer owned securities  
   0 [7352] 34,708 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method** (add lines 4 and 5)  
   15,109,312 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   15,109,312 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   - A. Banks located in the United States  
   - B. Other banks qualified under Regulation 30.7  
   6,903,726 [7500] 2,911,546 [7520] 9,815,272 [7530]

2. **Securities**  
   - A. In safekeeping with banks located in the United States  
   - B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7540] 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**  
   - A. Cash  
   - B. Securities  
   - C. Unrealized gain (loss) on open futures contracts  
   - D. Value of long option contracts  
   - E. Value of short option contracts  
   2,357,679 [7580] 0 [7590] 0 [7600] 0 [7610] 0 [7615] 2,357,679 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**  
   - A. Cash  
   - B. Securities  
   - C. Amount due to (from) clearing organization - daily variation  
   - D. Value of long option contracts  
   - E. Value of short option contracts  
   5,272,062 [7640] 0 [7650] 0 [7660] 0 [7670] 0 [7675] 5,272,062 [7680]

5. **Amounts held by members of foreign boards of trade**  
   - A. Cash  
   - B. Securities  
   - C. Unrealized gain (loss) on open futures contracts  
   - D. Value of long option contracts  
   - E. Value of short option contracts  

6. **Amounts with other depositories designated by a foreign board of trade**  
   0 [7760]

7. **Segregated funds on hand**  
   0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   17,831,151 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   2,721,839 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    1,721,839 [7785]
**Daily Segregation - Segregation Statement**

SEGRESSION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 990,267,982 [7010]
   - B. Securities (at market): 181,621,207 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: -1,671,778 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 3,718,260,313 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,559,287,261 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,329,190,463 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 365,652 [7045]

6. Amount required to be segregated (add lines 4 and 5): 1,329,314,577 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash: 176,792,123 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 793,052 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 221,271,248 [7100]
   - B. Securities representing investments of customers' funds (at market): 604,863,849 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 177,361,638 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: 8,742,657 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 3,718,260,313 [7132]
    - B. Value of open short option contracts: 3,559,287,261 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 3,466,517 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,352,264,136 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 22,949,559 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: 3,949,559 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]