INITIAL
End Date: 7/2/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/3/2020
**Name of Company**

WEDBUSH SECURITIES INC

**Contact Name**

Kevin Wirth

**Contact Phone Number**

312-784-0475

**Contact Email Address**

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**FCM’s Customer Segregated Funds Residual Interest Target (choose one):**

| a. Minimum dollar amount: | or 19,000,000 |
| c. Dollar amount range between: | and | 0 0 |

| d. Percentage range of customer segregated funds required between: | % and % | 0 0 |

**FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):**

| a. Minimum dollar amount: | or 1,000,000 |
| c. Dollar amount range between: | and | 0 0 |

| d. Percentage range of customer secured funds required between: | % and % | 0 0 |

**FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):**

| a. Minimum dollar amount: | or 0 |
| c. Dollar amount range between: | and | 0 0 |

| d. Percentage range of cleared swaps customer collateral required between: | % and % | 0 0 |

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 14,173,017 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 1,219,655 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 15,392,672 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 15,558 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - Method (add lines 4 and 5) 15,408,230 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 15,408,230 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States 6,879,694 [7500]
   - B. Other banks qualified under Regulation 30.7 2,901,854 [7520]

2. **Securities**
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash 3,518,397 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 3,518,397 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash 5,027,046 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 5,027,046 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash 162,462 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 162,462 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 18,489,453 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 3,081,223 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 2,081,223 [7785]
**INITIAL**

**End Date:** 7/2/2020  
**Firm Name:** WEBBUSH SECURITIES INC  
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 905,171,859 [7010]
   - B. Securities (at market): 156,130,736 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 10,840,658 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 3,608,395,752 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,398,843,416 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,281,695,589 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 200,420 [7045]
   - Less: amount offset by customer securities: -83,973 [7047]
   - 116,447 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,281,812,036 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash: 298,053,680 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 759,888 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 136,593,480 [7100]
   - B. Securities representing investments of customers' funds (at market): 499,900,516 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 151,778,041 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: 8,901,992 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 3,608,395,752 [7132]
    - B. Value of open short option contracts: -3,398,843,416 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 3,592,807 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,309,132,740 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 27,320,704 [7190]

15. Management Target Amount for Excess funds in segregation: 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 8,320,704 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management
    - 0 [8770]