INITIAL
End Date: 7/3/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/6/2020
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer segregated funds required between: % and%.

   19,000,000
   0
   0
   0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer secured funds required between: % and%.

   1,000,000
   0
   0
   0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of cleared swaps customer collateral required between: % and%.

   0
   0
   0
   0

Attach supporting documents
INITIAL
End Date: 7/3/2020
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash: 14,546,275 [7315]
   B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 793,394 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 15,339,669 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 202,119 [7351]
Less: amount offset by customer owned securities: 0 [7352] 202,119 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity:
   Method (add lines 4 and 5): 15,541,788 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6:
   15,541,788 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States: 6,798,778 [7500]
   B. Other banks qualified under Regulation 30.7: 2,728,831 [7520] 9,527,609 [7530]

2. Securities
   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash: 3,516,663 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 3,516,663 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash: 5,195,440 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 5,195,440 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash: 226,492 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 226,492 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 18,466,204 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8):
   2,924,416 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target:
   1,924,416 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $915,951,233 [7010]
   - **Securities (at market)**: $156,130,736 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $687,562 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $3,607,267,497 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -$3,396,731,770 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,283,305,258 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $419,371 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**: $1,283,508,974 [7060]

7. **Funds in segregated accounts**
   - **A. Cash**: $298,914,131 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: $0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $759,888 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $146,774,277 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: $499,902,284 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $151,778,041 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -$1,491,926 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $3,607,267,497 [7132]
    - **B. Value of open short option contracts**: -$3,396,731,770 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

12. **Segregated funds on hand**: $3,592,807 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $1,310,765,229 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $27,256,255 [7190]

15. **Management Target Amount for Excess funds in segregation**: $19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**

   - **Excess**: $8,256,255 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND FUND IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8715]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8720]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8730]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

15. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]