INITIAL
End Date: 7/8/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/9/2020
Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0** [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: **14,900,317** [7315]
   - B. Securities (at market): **0** [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: **1,074,212** [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: **0** [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: **0** [7337]

4. Net equity (deficit) (add lines 1, 2, and 3): **15,974,529** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: **15,910** [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): **15,990,439** [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: **15,990,439** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: **6,604,678** [7500]
   - B. Other banks qualified under Regulation 30.7: **2,454,462** [7520] **9,059,140** [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: **0** [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: **0** [7560] **0** [7570]

3. Equities with registered futures commission merchants
   - A. Cash: **3,616,410** [7580]
   - B. Securities: **0** [7590]
   - C. Unrealized gain (loss) on open futures contracts: **0** [7600]
   - D. Value of long option contracts: **0** [7610]
   - E. Value of short option contracts: **0** [7615] **3,616,410** [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: **5,097,205** [7640]
   - B. Securities: **0** [7650]
   - C. Amount due to (from) clearing organization - daily variation: **0** [7660]
   - D. Value of long option contracts: **0** [7670]
   - E. Value of short option contracts: **0** [7675] **5,097,205** [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: **1,246,951** [7700]
   - B. Securities: **0** [7710]
   - C. Unrealized gain (loss) on open futures contracts: **0** [7720]
   - D. Value of long option contracts: **0** [7730]
   - E. Value of short option contracts: **0** [7735] **1,246,951** [7740]

6. Amounts with other depositories designated by a foreign board of trade: **0** [7760]

7. Segregated funds on hand: **0** [7765]

8. Total funds in separate section 30.7 accounts: **19,019,706** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): **3,029,267** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: **2,029,267** [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash** 977,465,201 [7010]
   - **B. Securities (at market)** 156,149,903 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -54,797,630 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 3,618,475,090 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -3,382,798,816 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,314,493,748 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 383,697 [7045]
   - Less: amount offset by customer securities -241,604 [7047] 142,093 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,314,635,841 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 362,906,513 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 746,929 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 86,018,768 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 499,917,978 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 151,728,425 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 363,514 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 3,618,475,090 [7132]
    - **B. Value of open short option contracts** -3,382,798,816 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 3,674,549 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,341,032,950 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 26,397,109 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 7,397,109 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]