INITIAL
End Date: 7/9/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/10/2020
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**

**End Date:** 7/9/2020  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0** [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>14,971,581</td>
<td>[7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
<td>[7317]</td>
</tr>
</tbody>
</table>

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   **1,089,058** [7325]

3. **Exchange traded options**  
   
   a. Market value of open option contracts purchased on a foreign board of trade: **0** [7335]  
   
   b. Market value of open contracts granted (sold) on a foreign board of trade: **0** [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   **16,060,639** [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   **3,314** [7351]  
   Less: amount offset by customer owned securities: **0** [7352]  

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   **16,063,953** [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   **16,063,953** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   
   A. Banks located in the United States: **5,812,373** [7500]  
   
   B. Other banks qualified under Regulation 30.7: **2,451,184** [7520]  
   **8,263,557** [7530]

2. **Securities**  
   
   A. In safekeeping with banks located in the United States: **0** [7540]  
   
   B. In safekeeping with other banks qualified under Regulation 30.7: **0** [7560]  
   **0** [7570]

3. **Equities with registered futures commission merchants**  
   
   A. Cash: **4,772,313** [7580]  
   
   B. Securities: **0** [7590]  
   
   C. Unrealized gain (loss) on open futures contracts: **0** [7600]  
   
   D. Value of long option contracts: **0** [7610]  
   
   E. Value of short option contracts: **0** [7615]  
   **4,772,313** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**  
   
   A. Cash: **4,898,320** [7640]  
   
   B. Securities: **0** [7650]  
   
   C. Amount due to (from) clearing organization - daily variation: **0** [7660]  
   
   D. Value of long option contracts: **0** [7670]  
   
   E. Value of short option contracts: **0** [7675]  
   **4,898,320** [7680]

5. **Amounts held by members of foreign boards of trade**  
   
   A. Cash: **1,255,854** [7700]  
   
   B. Securities: **0** [7710]  
   
   C. Unrealized gain (loss) on open futures contracts: **0** [7720]  
   
   D. Value of long option contracts: **0** [7730]  
   
   E. Value of short option contracts: **0** [7735]  
   **1,255,854** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  
   
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>0</td>
<td>[7760]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>[7765]</td>
</tr>
</tbody>
</table>

7. **Segregated funds on hand**  
   
<table>
<thead>
<tr>
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</tr>
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<tbody>
<tr>
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<td>[7760]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0</td>
<td>[7765]</td>
</tr>
</tbody>
</table>

8. **Total funds in separate section 30.7 accounts**  
   **19,190,044** [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   **3,126,091** [7780]

10. **Excess (deficiency) funds in separate section 30.7 accounts over (under) Management Target**  
    **1,000,000** [7780]

11. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    **2,126,091** [7785]
Daily Segregation - Segregation Statement

SEGREATION REQUIREMENTS(Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 989,448,229 [7010]
   B. Securities (at market) 151,348,486 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -68,810,007 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,593,565,885 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -3,361,783,711 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,303,768,882 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 526,147 [7045]
   Less: amount offset by customer securities -126,617 [7047] 399,530 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,304,168,412 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 359,156,931 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 755,164 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 84,685,976 [7100]
   B. Securities representing investments of customers' funds (at market) 499,917,652 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 146,859,505 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 1,232,642 [7130]

10. Exchange traded options
    A. Value of open long option contracts 3,593,565,885 [7132]
    B. Value of open short option contracts -3,361,783,711 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,733,817 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,328,123,861 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 23,955,449 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 4,955,449 [7198]

Excess
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash: 0 [8500]
   B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps:**
   0 [8520]

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3):**
   0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount:**
   0 [8560]

   Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5):**
   0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   A. Cash: 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash: 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations:**
   0 [8660]

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts: 0 [8670]
    B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    A. Net liquidating equity: 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    A. Cash: 0
    B. Securities representing investments of cleared swaps customers' funds (at market): 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12):**
    0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13):**
    0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts:**
    0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management:**
    0 [8770]