INITIAL
End Date: 7/17/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/20/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 19,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0 [7305]**

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: **14,264,318 [7315]**
   - B. Securities (at market): **0 [7317]**
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: **1,936,000 [7325]**
3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: **0 [7335]**
   - b. Market value of open contracts granted (sold) on a foreign board of trade: **0 [7337]**
4. Net equity (deficit) (add lines 1. 2. and 3.): **16,200,318 [7345]**
5. Account liquidating to a deficit and account with a debit balances - gross amount: **2,860 [7351]**
   - Less: amount offset by customer owned securities: **0 [7352] 2,860 [7354]**
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): **16,203,178 [7355]**
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: **16,203,178 [7360]**

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: **5,979,973 [7500]**
   - B. Other banks qualified under Regulation 30.7: **2,371,449 [7520] 8,351,422 [7530]**
2. Securities
   - A. In safekeeping with banks located in the United States: **0 [7540]**
   - B. In safekeeping with other banks qualified under Regulation 30.7: **0 [7560] 0 [7570]**
3. Equities with registered futures commission merchants
   - A. Cash: **4,256,615 [7580]**
   - B. Securities: **0 [7590]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7600]**
   - D. Value of long option contracts: **0 [7610]**
   - E. Value of short option contracts: **0 [7615] 4,256,615 [7620]**
4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: **5,171,990 [7640]**
   - B. Securities: **0 [7650]**
   - C. Amount due to (from) clearing organization - daily variation: **0 [7660]**
   - D. Value of long option contracts: **0 [7670]**
   - E. Value of short option contracts: **0 [7675] 5,171,990 [7680]**
5. Amounts held by members of foreign boards of trade
   - A. Cash: **1,538,727 [7700]**
   - B. Securities: **0 [7710]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7720]**
   - D. Value of long option contracts: **0 [7730]**
   - E. Value of short option contracts: **0 [7735] 1,538,727 [7740]**
6. Amounts with other depositories designated by a foreign board of trade: **0 [7760]**
7. Segregated funds on hand: **0 [7765]**
8. Total funds in separate section 30.7 accounts: **19,318,754 [7770]**
10. Management Target Amount for Excess funds in separate section 30.7 accounts: **1,000,000 [7780]**
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: **2,115,576 [7785]**
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash** 1,414,303,657 [7010]
   - **B. Securities (at market)** 143,354,765 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -185,011,421 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 3,133,837,416 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -3,078,433,405 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,428,051,012 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 434,111 [7045]
   - Less: amount offset by customer securities -307,409 [7047] 126,702 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,428,177,714 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 76,927,153 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 763,853 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 919,662,108 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 374,949,401 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 138,796,099 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** -1,345,470 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 3,133,837,416 [7132]
    - **B. Value of open short option contracts** -3,078,433,405 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 3,794,813 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,568,951,968 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 140,774,254 [7190]

15. **Management Target Amount for Excess funds in segregation** 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 121,774,254 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8710]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]