INITIAL
End Date: 7/20/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/21/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $0 ; or
   b. Minimum percentage of customer segregated funds required: 0 % ; or
   c. Dollar amount range between: $0 and $0 ; or
   d. Percentage range of customer segregated funds required between: 0 % and 0 %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $0 ; or
   b. Minimum percentage of customer secured funds required: 0 % ; or
   c. Dollar amount range between: $0 and $0 ; or
   d. Percentage range of customer secured funds required between: 0 % and 0 %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0 ; or
   b. Minimum percentage of cleared swaps customer collateral required: 0 % ; or
   c. Dollar amount range between: $0 and $0 ; or
   d. Percentage range of cleared swaps customer collateral required between: 0 % and 0 %.

Attach supporting documents
**INITIAL**

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## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   **A. Cash**
   
   14,865,816 [7315]
   
   **B. Securities (at market)**
   
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

   1,784,762 [7325]

3. Exchange traded options

   a. Market value of open option contracts purchased on a foreign board of trade
   
   0 [7335]
   
   b. Market value of open contracts granted (sold) on a foreign board of trade
   
   0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.)

   16,650,578 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount

   2,056 [7351]

   Less: amount offset by customer owned securities

   0 [7352] 2,056 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)

   16,652,634 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

   16,652,634 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

   A. Banks located in the United States
   
   6,335,670 [7500]
   
   B. Other banks qualified under Regulation 30.7
   
   2,357,048 [7520] 8,692,718 [7530]

2. Securities

   A. In safekeeping with banks located in the United States
   
   0 [7540]
   
   B. In safekeeping with other banks qualified under Regulation 30.7
   
   0 [7560] 0 [7570]

3. Equities with registered futures commission merchants

   A. Cash
   
   4,347,508 [7580]
   
   B. Securities
   
   0 [7590]
   
   C. Unrealized gain (loss) on open futures contracts
   
   0 [7600]
   
   D. Value of long option contracts
   
   0 [7610]
   
   E. Value of short option contracts
   
   0 [7615] 4,347,508 [7620]

4. Amounts held by clearing organizations of foreign boards of trade

   A. Cash
   
   5,231,554 [7640]
   
   B. Securities
   
   0 [7650]
   
   C. Amount due to (from) clearing organization - daily variation
   
   0 [7660]
   
   D. Value of long option contracts
   
   0 [7670]
   
   E. Value of short option contracts
   
   0 [7675] 5,231,554 [7680]

5. Amounts held by members of foreign boards of trade

   A. Cash
   
   1,545,079 [7700]
   
   B. Securities
   
   0 [7710]
   
   C. Unrealized gain (loss) on open futures contracts
   
   0 [7720]
   
   D. Value of long option contracts
   
   0 [7730]
   
   E. Value of short option contracts
   
   0 [7735] 1,545,079 [7740]

6. Amounts with other depositories designated by a foreign board of trade

   0 [7760]

7. Segregated funds on hand

   0 [7765]

8. Total funds in separate section 30.7 accounts

   19,816,859 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

   3,164,225 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts

    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

    2,164,225 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: 1,399,981,509 [7010]
   - **B. Securities (at market)**: 148,346,199 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: -206,690,992 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 3,120,832,944 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -3,060,025,498 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,400,444,162 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 437,261 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,400,570,377 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: 229,416,076 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 761,666 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 618,217,661 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: 374,951,849 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 143,792,599 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -4,900,257 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 3,120,832,944 [7132]
    - **B. Value of open short option contracts**: -3,060,025,498 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 3,791,934 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,426,838,974 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 26,268,597 [7190]

15. **Management Target Amount for Excess funds in segregation**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**:
    - **Excess**: 7,268,597 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0 [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8720]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8730]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8740]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8750]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]