Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 19,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
Initial
End Date: 7/23/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/24/2020

### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**  14,682,797 [7315]
   - **B. Securities (at market)**  0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  2,134,271 [7325]

3. **Exchange traded options**
   - Market value of open option contracts purchased on a foreign board of trade  0 [7335]
   - Market value of open contracts granted (sold) on a foreign board of trade  0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  16,817,068 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  3,968 [7351]
   Less: amount offset by customer owned securities  0 [7352]  3,968 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity (Method (add lines 4 and 5)**  16,821,036 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  16,821,036 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States  6,219,128 [7500]
   - B. Other banks qualified under Regulation 30.7  2,454,058 [7520]  8,673,186 [7530]

2. **Securities**
   - In safekeeping with banks located in the United States  0 [7540]
   - In safekeeping with other banks qualified under Regulation 30.7  0 [7560]  0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash  4,275,565 [7580]
   - B. Securities  0 [7590]
   - C. Unrealized gain (loss) on open futures contracts  0 [7600]
   - D. Value of long option contracts  0 [7610]
   - E. Value of short option contracts  0 [7615]  4,275,565 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash  5,364,301 [7640]
   - B. Securities  0 [7650]
   - C. Amount due to (from) clearing organization - daily variation  0 [7660]
   - D. Value of long option contracts  0 [7670]
   - E. Value of short option contracts  0 [7675]  5,364,301 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash  1,734,618 [7700]
   - B. Securities  0 [7710]
   - C. Unrealized gain (loss) on open futures contracts  0 [7720]
   - D. Value of long option contracts  0 [7730]
   - E. Value of short option contracts  0 [7735]  1,734,618 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  0 [7760]

7. **Segregated funds on hand**  0 [7765]

8. **Total funds in separate section 30.7 accounts**  20,047,670 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  3,226,634 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  2,226,634 [7785]
End Date: 7/23/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/24/2020

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,281,015,686 [7010]
   B. Securities (at market) 131,429,867 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -189,954,603 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,358,958,427 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -3,167,139,773 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,414,309,604 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 696,985 [7045]
   Less: amount offset by customer securities -571,082 [7047] 125,903 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,414,435,507 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 274,044,424 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 781,397 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 465,170,827 [7100]
   B. Securities representing investments of customers' funds (at market) 374,959,956 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 126,440,117 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -110,500 [7130]

10. Exchange traded options
    A. Value of open long option contracts 3,358,958,427 [7132]
    B. Value of open short option contracts -3,167,139,773 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 4,208,353 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,437,313,228 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 22,877,721 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 3,877,721 [7198]
## Daily Segregation - Swaps Statement

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - Cash: 0 [8500]
   - Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - Market value of open cleared swaps option contracts purchased: 0 [8530]
   - Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8550]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - Cash: 0 [8600]
   - Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - Cash: 0 [8630]
   - Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - Value of open cleared swaps long option contracts: 0 [8670]
    - Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - Net liquidating equity: 0 [8690]
    - Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - Cash: 0
    - Securities representing investments of cleared swaps customers' funds (at market): 0
    - Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]