INITIAL
End Date: 8/3/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/4/2020
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $19,000,000
- Percentage of customer segregated funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Percentage of customer secured funds required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0 and 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash | 15,596,584 [7315] |
   - B. Securities (at market) | 0 [7317] |

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | 2,103,124 [7325] |

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade | 224 [7335] |
   - b. Market value of open contracts granted (sold) on a foreign board of trade | 0 [7337] |

4. **Net equity (deficit) (add lines 1. 2. and 3.)** | 17,699,932 [7345] |

5. **Account liquidating to a deficit and account with a debit balances - gross amount** | 2,114 [7351] |

   - Less: amount offset by customer owned securities | 0 [7352] 2,114 [7354] |

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** | 17,702,046 [7355] |

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** | 17,702,046 [7360] |

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States | 6,434,088 [7500] |
   - B. Other banks qualified under Regulation 30.7 | 2,855,583 [7520] 9,289,671 [7530] |

2. **Securities**
   - A. In safekeeping with banks located in the United States | 0 [7540] |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

3. **Equities with registered futures commission merchants**
   - A. Cash | 4,468,912 [7580] |
   - B. Securities | 0 [7590] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   - D. Value of long option contracts | 0 [7610] |
   - E. Value of short option contracts | 0 [7615] 4,468,912 [7620] |

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash | 5,323,507 [7640] |
   - B. Securities | 0 [7650] |
   - C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   - D. Value of long option contracts | 0 [7670] |
   - E. Value of short option contracts | 0 [7675] 5,323,507 [7680] |

5. **Amounts held by members of foreign boards of trade**
   - A. Cash | 1,823,663 [7700] |
   - B. Securities | 0 [7710] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   - D. Value of long option contracts | 0 [7730] |
   - E. Value of short option contracts | 0 [7735] 1,823,663 [7740] |

6. **Amounts with other depositories designated by a foreign board of trade** | 0 [7760] |

7. **Segregated funds on hand** | 0 [7765] |

8. **Total funds in separate section 30.7 accounts** | 20,905,753 [7770] |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | 3,203,707 [7780] |

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** | 1,000,000 [7780] |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** | 2,203,707 [7785] |
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: 1,200,944,923 [7010]
   - **Securities (at market)**: 111,620,406 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Value**: -148,838,079 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 3,449,033,468 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -3,186,241,549 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Value**: 1,426,519,169 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: -152,631 [7047]
   - **Value**: 827,787 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **Value**: 1,427,346,956 [7060]

7. **Fund deposited in segregated funds bank accounts**
   - **Cash**: 360,318,095 [7070]
   - **Securities representing investments of customers’ funds (at market)**: 0 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 758,465 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 343,004,363 [7100]
   - **Securities representing investments of customers’ funds (at market)**: 374,973,456 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 106,064,306 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Value**: 355,149 [7130]

10. **Exchange traded options**
    - A. **Value of open long option contracts**: 3,449,033,468 [7132]
    - B. **Value of open short option contracts**: -3,186,241,549 [7133]

11. **Net equities with other FCMs**
    - A. **Net liquidating equity**: 0 [7140]
    - B. **Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - C. **Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**
    - **Value**: 4,797,635 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **Value**: 1,453,063,388 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **Value**: 25,716,432 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **Value**: 19,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 6,716,432 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]