INITIAL
End Date: 8/4/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/5/2020
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $ ; or $19,000,000
   b. Minimum percentage of customer segregated funds required:% ; or 0%
   c. Dollar amount range between: and ; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $ ; or $1,000,000
   b. Minimum percentage of customer secured funds required:% ; or 0%
   c. Dollar amount range between: and ; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $ ; or 0
   b. Minimum percentage of cleared swaps customer collateral required:% ; or 0%
   c. Dollar amount range between: and ; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 16,316,365 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,181,659 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 224 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.) 18,498,248 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,014 [7351]
   Less: amount offset by customer owned securities 0 [7352] 3,014 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 18,501,262 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 18,501,262 [7360]

Funds deposited in separate regulation 30.7 accounts

1. Cash in banks
   A. Banks located in the United States 6,903,081 [7500]
   B. Other banks qualified under Regulation 30.7 2,935,123 [7520] 9,838,204 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 4,621,079 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 4,621,079 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 5,245,009 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 5,245,009 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,862,647 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 224 [7730]
   E. Value of short option contracts 0 [7735] 1,862,871 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 21,567,163 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,065,901 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,065,901 [7785]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - **A. Cash**: 1,262,552,855
   - **B. Securities (at market)**: 111,448,529

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **-176,233,326**

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**: 3,481,685,600
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -3,224,606,771

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **1,454,846,887**

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **390,410**
   - Less: amount offset by customer securities
   - **-138,720**
   - 251,690

6. Amount required to be segregated (add lines 4 and 5)
   - **1,455,098,577**

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - **A. Cash**: 372,233,664
   - **B. Securities representing investments of customers' funds (at market)**: 0
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 751,349

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**: 360,803,368
   - **B. Securities representing investments of customers' funds (at market)**: 374,974,619
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 106,064,306

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **5,686,783**

10. Exchange traded options
    - **A. Value of open long option contracts**: 3,481,685,600
    - **B. Value of open short option contracts**: -3,224,606,771

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0
    - **B. Securities representing investments of customers' funds (at market)**: 0
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. Segregated funds on hand
    - **4,632,874**

13. Total amount in segregation (add lines 7 through 12)
    - **1,482,225,792**

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **27,127,215**

15. Management Target Amount for Excess funds in segregation
    - **19,000,000**

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **8,127,215**
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]