INITIAL
End Date: 8/5/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/6/2020
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000;

b. Minimum percentage of customer segregated funds required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000;

b. Minimum percentage of customer secured funds required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of customer secured funds required between: 0% and 0%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0;

b. Minimum percentage of cleared swaps customer collateral required: 0%

c. Dollar amount range between: $0 and $0

d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
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**Daily Segregation - Secured Amounts**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foreign Futures and Foreign Options Secured Amounts</td>
<td>0 [7305]</td>
</tr>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7317]</td>
</tr>
<tr>
<td>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>15,790,088 [7315]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>15,790,088 [7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>2,168,697 [7325]</td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td>0 [7337]</td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>224 [7335]</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0 [7337]</td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1, 2, and 3.)</td>
<td>17,959,009 [7345]</td>
</tr>
<tr>
<td>5. Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>2,948 [7351]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352] 2,948 [7354]</td>
</tr>
<tr>
<td>6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>17,961,957 [7355]</td>
</tr>
<tr>
<td>7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>17,961,957 [7360]</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Cash in banks</td>
<td>6,950,528 [7500]</td>
</tr>
<tr>
<td>A. Banks located in the United States</td>
<td>6,950,528 [7500]</td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>2,484,372 [7520] 9,434,900 [7530]</td>
</tr>
<tr>
<td>2. Securities</td>
<td>0 [7560] 0 [7570]</td>
</tr>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>0 [7540]</td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0 [7560] 0 [7570]</td>
</tr>
<tr>
<td>3. Equities with registered futures commission merchants</td>
<td>4,570,735 [7580]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>4,570,735 [7580]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7590]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7600]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7610]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7615] 4,570,735 [7620]</td>
</tr>
<tr>
<td>4. Amounts held by clearing organizations of foreign boards of trade</td>
<td>5,308,633 [7640]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>5,308,633 [7640]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7650]</td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0 [7660]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7670]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7675] 5,308,633 [7680]</td>
</tr>
<tr>
<td>5. Amounts held by members of foreign boards of trade</td>
<td>1,701,584 [7700]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>1,701,584 [7700]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7710]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7720]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7720]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>224 [7730] 1,701,808 [7740]</td>
</tr>
<tr>
<td>6. Amounts with other depositories designated by a foreign board of trade</td>
<td>0 [7760]</td>
</tr>
<tr>
<td>7. Segregated funds on hand</td>
<td>0 [7765]</td>
</tr>
<tr>
<td>8. Total funds in separate section 30.7 accounts</td>
<td>21,016,076 [7770]</td>
</tr>
<tr>
<td>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
<td>3,054,119 [7780]</td>
</tr>
<tr>
<td>10. Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000 [7780]</td>
</tr>
<tr>
<td>11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>2,054,119 [7785]</td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: $1,251,820,811
   - **Securities (at market)**: $111,448,529

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Loss**: $181,407,391

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $3,489,280,444
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $3,220,058,654

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Equity**: $1,451,083,739

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: $140,184
   - **Amount required to be segregated (add lines 4 and 5)**
     - **Equity**: $1,451,201,137

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: $297,933,253
   - **Securities representing investments of customers' funds (at market)**: $0
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $751,349

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $419,443,629
   - **Securities representing investments of customers' funds (at market)**: $374,976,451
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $106,064,306

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Settlement**: $3,263,878

10. **Exchange traded options**
   - **A. Value of open long option contracts**: $3,489,280,444
   - **B. Value of open short option contracts**: $3,220,058,654

11. **Net equities with other FCMs**
   - **Net liquidating equity**: $0
   - **Securities representing investments of customers' funds (at market)**: $0
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $0

12. **Segregated funds on hand**
    - **4,632,874**

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,476,287,530**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **25,086,393**

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: **6,086,393**
# Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)
2. Net unrealized profit (loss) in open cleared swaps
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. Net Equity (deficit) (add lines 1, 2, and 3)
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
9. Net settlement from (to) derivatives clearing organizations
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts
11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. Cleared swaps customer funds on hand
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)
15. Management Target Amount for Excess funds in cleared swaps segregated accounts
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management

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