INITIAL
End Date: 8/10/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/11/2020
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Daily Segregation - Cover Page

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: % ; or
     19,000,000
  c. Dollar amount range between: and;
  d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
     1,000,000
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between: and;
  d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between: and;
  d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0 [7305]**

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: **15,685,342 [7315]**
   - B. Securities (at market): **0 [7317]**

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: **2,186,711 [7325]**

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: **186 [7335]**
   - b. Market value of open contracts granted (sold) on a foreign board of trade: **0 [7337]**

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: **17,872,239 [7345]**

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: **1,079 [7351]**

   Less: amount offset by customer owned securities: **0 [7352] 1,079 [7354]**

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: **17,873,318 [7355]**

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: **17,873,318 [7360]**

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: **7,151,448 [7500]**
   - B. Other banks qualified under Regulation 30.7: **2,579,526 [7520] 9,730,974 [7530]**

2. **Securities**
   - A. In safekeeping with banks located in the United States: **0 [7540]**
   - B. In safekeeping with other banks qualified under Regulation 30.7: **0 [7560] 0 [7570]**

3. **Equities with registered futures commission merchants**
   - A. Cash: **4,215,170 [7580]**
   - B. Securities: **0 [7590]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7600]**
   - D. Value of long option contracts: **0 [7610]**
   - E. Value of short option contracts: **0 [7615] 4,215,170 [7620]**

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: **5,372,150 [7640]**
   - B. Securities: **0 [7650]**
   - C. Amount due to (from) clearing organization - daily variation: **0 [7660]**
   - D. Value of long option contracts: **0 [7670]**
   - E. Value of short option contracts: **0 [7675] 5,372,150 [7680]**

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: **1,686,166 [7700]**
   - B. Securities: **0 [7710]**
   - C. Unrealized gain (loss) on open futures contracts: **0 [7720]**
   - D. Value of long option contracts: **186 [7730]**
   - E. Value of short option contracts: **0 [7735] 1,686,352 [7740]**

6. **Amounts with other depositories designated by a foreign board of trade**: **0 [7760]**

7. **Segregated funds on hand**: **0 [7765]**

8. **Total funds in separate section 30.7 accounts**: **21,004,646 [7770]**

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: **3,131,328 [7780]**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: **1,000,000 [7780]**

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: **2,131,328 [7785]**
Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 1,247,892,241 [7010]
   B. Securities (at market) 99,970,859 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -201,452,801 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 3,440,630,989 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -3,164,441,645 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,422,599,643 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 245,673 [7045]
   Less: amount offset by customer securities -133,829 [7047] 111,844 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,422,711,487 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 306,829,424 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 767,234 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 309,291,924 [7100]
   B. Securities representing investments of customers' funds (at market) 469,962,726 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 94,188,306 [7120]


10. Exchange traded options
    A. Value of open long option contracts 3,440,630,989 [7132]
    B. Value of open short option contracts -3,164,441,645 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 5,015,319 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,449,937,084 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 27,225,597 [7190]

15. Management Target Amount for Excess funds in segregation 19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 8,225,597 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td>0 [8500]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8510]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [8520]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8530]</td>
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<tr>
<td>3. Cleared swaps options</td>
<td>0 [8540]</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8550]</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0 [8560]</td>
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<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
<td>0 [8570]</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>0 [8580]</td>
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<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) in cleared swaps customer segregated accounts</td>
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<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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<tr>
<td>A. Cash</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>9. Net settlement from (to) derivatives clearing organizations</td>
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<tr>
<td>10. Cleared swaps options</td>
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<tr>
<td>A. Value of open cleared swaps long option contracts</td>
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<tr>
<td>B. Value of open cleared swaps short option contracts</td>
<td>0 [8710]</td>
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<tr>
<td>11. Net equities with other FCMs</td>
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<tr>
<td>A. Net liquidating equity</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<tr>
<td>12. Cleared swaps customer funds on hand</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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