INITIAL
End Date: 8/14/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/17/2020
ATTACH SUPPORTING DOCUMENTS

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 
   19,000,000
b. Minimum percentage of customer segregated funds required: % ; or
   0
c. Dollar amount range between: and; or
   0 0
d. Percentage range of customer segregated funds required between: % and%
   0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
   1,000,000
b. Minimum percentage of customer secured funds required: % ; or
   0
c. Dollar amount range between: and; or
   0 0
d. Percentage range of customer secured funds required between: % and%
   0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
   0
b. Minimum percentage of cleared swaps customer collateral required: % ; or
   0
c. Dollar amount range between: and; or
   0 0
d. Percentage range of cleared swaps customer collateral required between: % and%
   0 0
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0** [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: **16,518,895** [7315]
   - **Securities (at market)**: **0** [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: **1,567,959** [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: **186** [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: **0** [7337]

4. **Net equity (deficit)** (add lines 1. 2. and 3.): **18,087,040** [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: **1,996** [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: **18,089,036** [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: **18,089,036** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: **6,937,262** [7500]
   - B. Other banks qualified under Regulation 30.7: **2,288,386** [7520] **9,225,648** [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: **0** [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: **0** [7560] **0** [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: **4,216,447** [7580]
   - B. Securities: **0** [7590]
   - C. Unrealized gain (loss) on open futures contracts: **0** [7600]
   - D. Value of long option contracts: **0** [7610]
   - E. Value of short option contracts: **0** [7615] **4,216,447** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: **5,773,778** [7640]
   - B. Securities: **0** [7650]
   - C. Amount due to (from) clearing organization - daily variation: **0** [7660]
   - D. Value of long option contracts: **0** [7670]
   - E. Value of short option contracts: **0** [7675] **5,773,778** [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: **1,709,961** [7700]
   - B. Securities: **0** [7710]
   - C. Unrealized gain (loss) on open futures contracts: **0** [7720]
   - D. Value of long option contracts: **186** [7730]
   - E. Value of short option contracts: **0** [7735] **1,710,147** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: **0** [7760]

7. **Segregated funds on hand**: **0** [7765]

8. **Total funds in separate section 30.7 accounts**: **20,926,020** [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: **2,836,984** [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: **1,000,000** [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: **1,836,984** [7785]
### INITIAL

End Date: 8/14/2020  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 8/17/2020

**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: 1,261,505,830 [7010]
   - **B. Securities (at market)**: 94,900,731 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   - **-196,213,671** [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 3,353,223,473 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -3,064,754,327 [7033]
   - **Net equity (deficit) (add lines 1, 2 and 3)**: 1,448,662,036 [7040]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: -126,348 [7047]
   - **574,484** [7050]

5. **Amount required to be segregated (add lines 4 and 5)**
   - **1,449,236,520** [7060]

**FUNDS IN SEREGATED ACCOUNTS**

6. **Deposited in segregated funds bank accounts**
   - **A. Cash**: 340,811,793 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: 0 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 776,088 [7090]

7. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 310,818,611 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: 419,945,610 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 89,142,913 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **19,256,732** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 3,353,223,473 [7132]
    - **B. Value of open short option contracts**: -3,064,754,327 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**
    - **4,981,730** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,474,202,623** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **24,966,103** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **19,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: **5,966,103** [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8715]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8720]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]