INITIAL
End Date: 8/18/2020
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/19/2020
Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount:  ; or 19,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount:  ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount:  ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0** [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - **A. Cash**: **16,693,536** [7315]
   - **B. Securities (at market)**: **0** [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: **2,346,851** [7325]

3. Exchange traded options
   - **a. Market value of open option contracts purchased on a foreign board of trade**: **186** [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: **0** [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): **19,040,573** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: **4,818** [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   - **19,045,391** [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - **19,045,391** [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - **A. Banks located in the United States**: **7,275,169** [7500]
   - **B. Other banks qualified under Regulation 30.7**: **1,897,468** [7520, 9,172,637] [7530]

2. Securities
   - **A. In safekeeping with banks located in the United States**: **0** [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: **0** [7560, 0] [7570]

3. Equities with registered futures commission merchants
   - **A. Cash**: **4,498,312** [7580]
   - **B. Securities**: **0** [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: **0** [7600]
   - **D. Value of long option contracts**: **0** [7610]
   - **E. Value of short option contracts**: **0** [7615, 4,498,312] [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - **A. Cash**: **6,464,664** [7640]
   - **B. Securities**: **0** [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: **0** [7660]
   - **D. Value of long option contracts**: **0** [7670]
   - **E. Value of short option contracts**: **0** [7675, 6,464,664] [7680]

5. Amounts held by members of foreign boards of trade
   - **A. Cash**: **1,871,759** [7700]
   - **B. Securities**: **0** [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: **0** [7720]
   - **D. Value of long option contracts**: **186** [7730]
   - **E. Value of short option contracts**: **0** [7735, 1,871,945] [7740]

6. Amounts with other depositories designated by a foreign board of trade
   - **0** [7760]

7. Segregated funds on hand
   - **0** [7765]

8. Total funds in separate section 30.7 accounts: **22,007,558** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - **2,962,167** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - **1,962,167** [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: $1,309,802,536 (7010)
   - B. Securities (at market): $99,623,168 (7020)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - ($196,976,050) (7030)

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $3,307,908,412 (7032)
   - B. Deduct market value of open option contracts granted (sold) on a contract market: ($3,035,128,645) (7033)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $1,485,229,421 (7040)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - $222,830 (7045)
   - Less: amount offset by customer securities: ($125,307) (7047)
   - $70,523 (7050)

6. **Amount required to be segregated (add lines 4 and 5)**
   - $1,485,326,944 (7060)

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: $365,888,931 (7070)
   - B. Securities representing investments of customers’ funds (at market): $0 (7080)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $700,402 (7090)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $341,363,130 (7100)
   - B. Securities representing investments of customers’ funds (at market): $419,955,079 (7110)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $94,139,913 (7120)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - $9,575,794 (7130)

10. **Exchange traded options**
    - A. Value of open long option contracts: $3,307,908,412 (7132)
    - B. Value of open short option contracts: ($3,035,128,645) (7133)

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 (7140)
    - B. Securities representing investments of customers’ funds (at market): $0 (7160)
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 (7170)

12. **Segregated funds on hand**
    - $4,782,853 (7150)

13. **Total amount in segregation (add lines 7 through 12)**
    - $1,509,185,869 (7180)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - $23,858,925 (7190)

15. **Management Target Amount for Excess funds in segregation**
    - $19,000,000 (7194)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $4,858,925 (7198)
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]